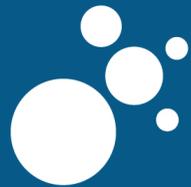




EUSFLAT - LFA 2011

European Society For Fuzzy Logic and Technology

18 - 22 July 2011 Aix-Les-Bains FRANCE



**European Centre
for Soft Computing**



ugr

Universidad
de **Granada**

An automatic method for forensic identification based on soft computing techniques

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Outline

- Forensic identification by craniofacial superimposition
- Image registration
- Image registration, uncertainty and forensic identification = soft computing
- First stage: 3D skull model reconstruction using evolutionary algorithms
- Second stage: Skull-face overlay using evolutionary algorithms and fuzzy logic
- Concluding Remarks



1. Forensic identification by craniofacial superimposition

Forensic identification (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- **Human identification** (of alive or dead people) is one of the most outstanding tasks in forensic medicine



- If anthropologists get **enough information** other techniques might be applied: fingerprint, autopsy, DNA
- Otherwise



1. Forensic identification by craniofacial superimposition

Forensic identification (II)





1. Forensic identification by craniofacial superimposition Basis

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

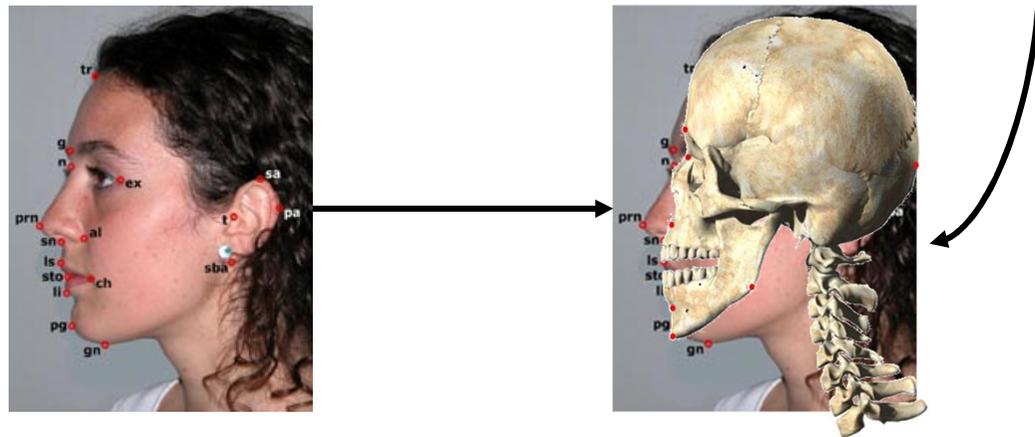
3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- Craniofacial superimposition is a forensic process where photographs or video shots of a missing person are compared with “a model” of a skull that is found
- Projecting one above the other (skull-face overlay) the anthropologist can try to determine whether that is the same person





1. Forensic identification by craniofacial superimposition

Cranial and facial landmarks

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

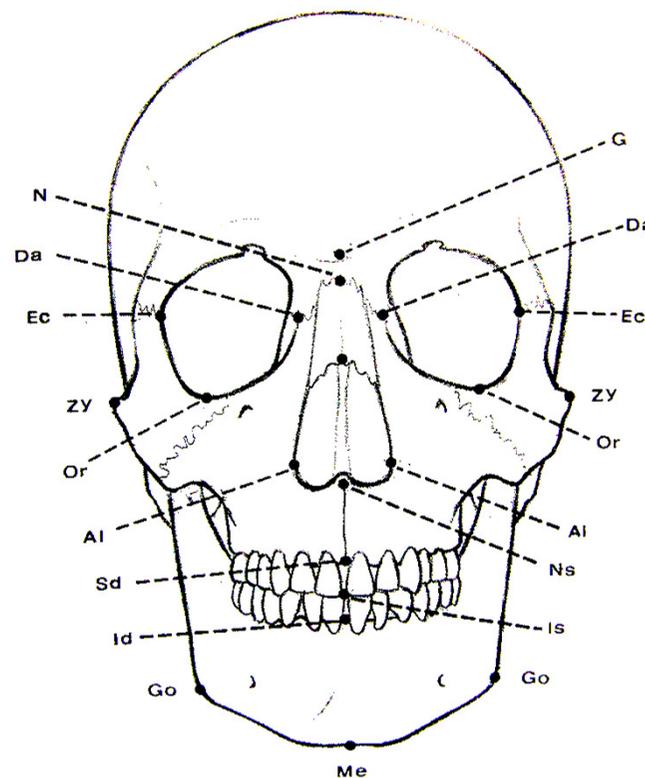
2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

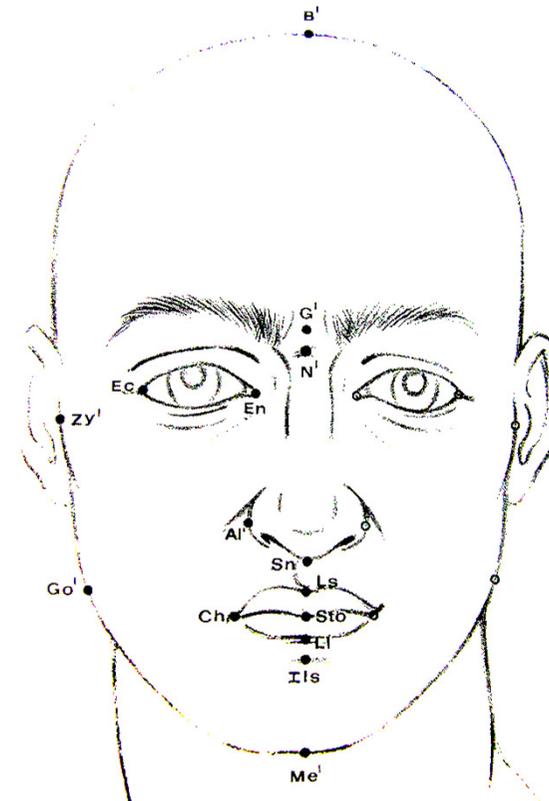
4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions



Craniofacial landmarks



Cephalometric landmarks



1. Forensic identification by craniofacial superimposition

Landmarks matching

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

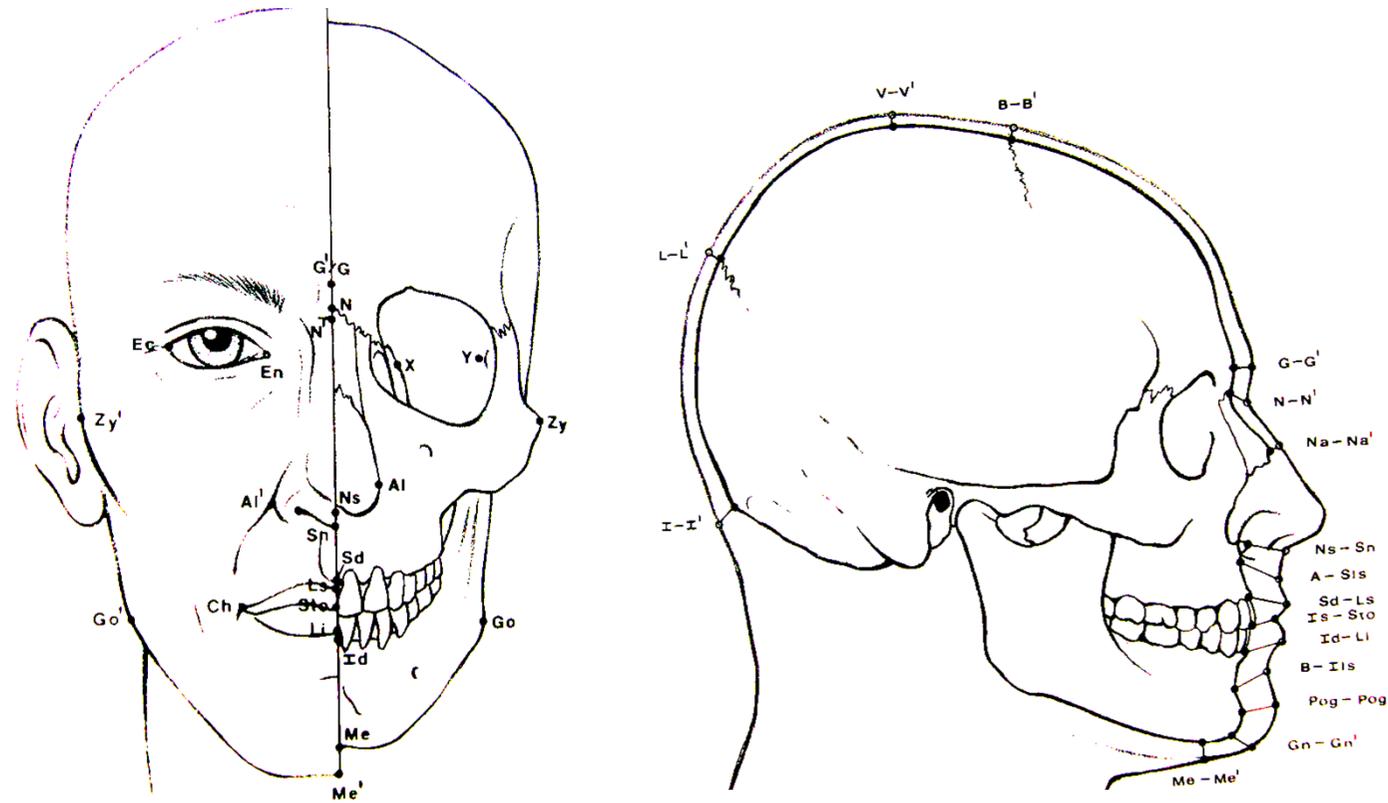
2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions



Landmarks correlation



1. Forensic identification by craniofacial superimposition

Real case example

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions





1. Forensic identification by craniofacial superimposition Methodology

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions



1. Photo and skull model development

Identification {Positive/negative/
likely positive/likely negative/
indeterminate}



3. Decision making

2. Manual skull-face overlay





1. Forensic identification by craniofacial superimposition

History

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- **Methodological basis:** Broca's skull-face correspondence (1875), Bertillon's accused physiognomic data collection (1886), and Martin and Saller's anthropological measurements studies (1966) studies
- First documented case in 1880: identification of the skeletal remains of the poet **Dante Alighieri**
- The first identifications were **based on photos:** superimposition of the skull and face negatives and developing of the positive of the picture
- The next stage was the use of **video superimposition**, one of the most extended approaches nowadays
- **Digital image processing** has boomed the technique
- Recently used to identify the **Indian tsunami victims** and in **terrorism**. Other successful case studies: **Josef Mengele** and "**Ivan the Terrible**"



2. Image registration Definition

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

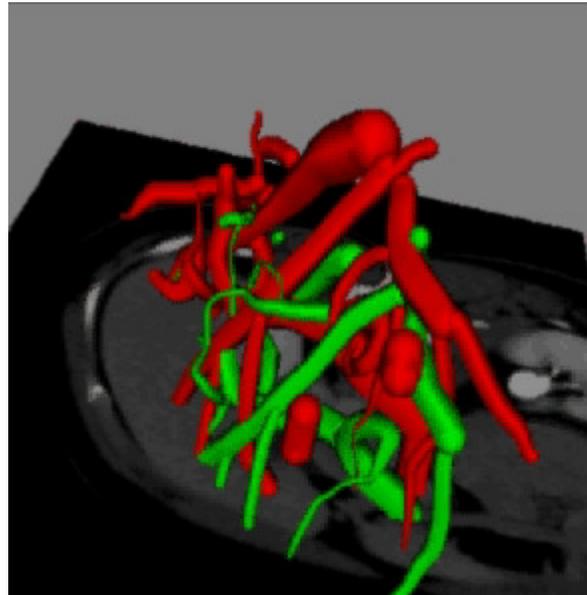
3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- **Image registration (IR)** aims to superimpose an image on a similar one considering the same coordinate system



PROBLEM ?



Images acquired in different coordinate systems



Unknown matching relationship between them



2. Image registration Applications (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

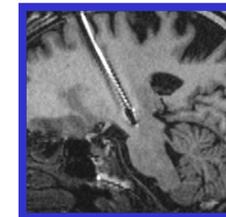
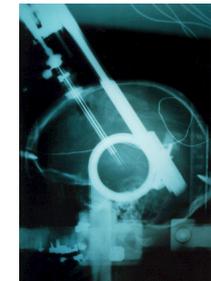
3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

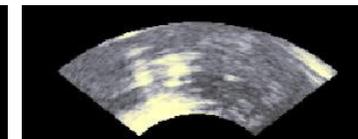
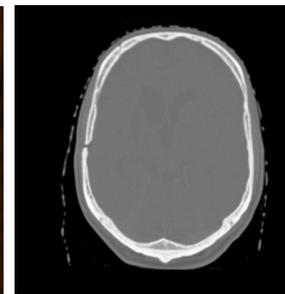
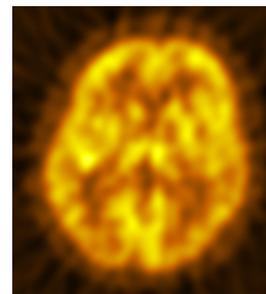
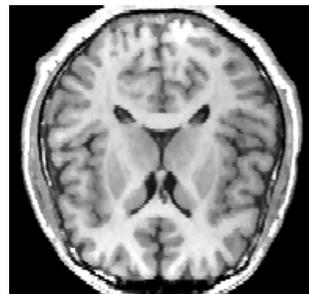
5. Second stage: Skull-face overlay

6. Conclusions

• Surgery planning



• Image integration: multimodality, 3D/2D, etc.





2. Image registration Applications (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

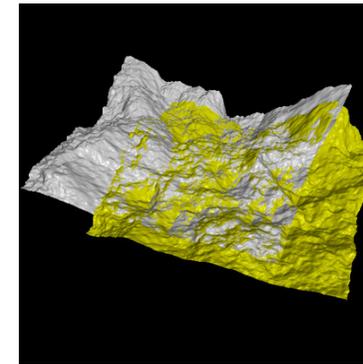
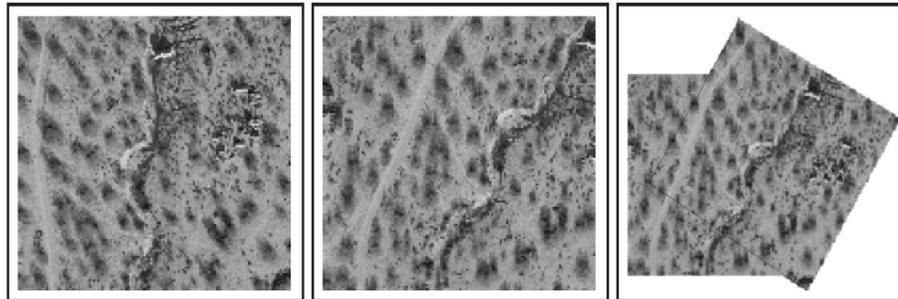
3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

Remote sensing



3D model reconstruction: CAD, archeology, forensic anthropology, etc.





2. Image registration Problem statement (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- IR aims to superimpose an image on a similar one considering the same coordinate system
- IR Components:
 - Scene ($I_s \subset \mathbb{R}^2/\mathbb{R}^3$) and model ($I_m \subset \mathbb{R}^2/\mathbb{R}^3$) images
 - Transformation ($f: \mathbb{R}^2/\mathbb{R}^3 \rightarrow \mathbb{R}^2/\mathbb{R}^3$)
 - Similarity metric (F)
 - **Optimizer** (search for the optimal f)



2. Image registration Problem statement (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

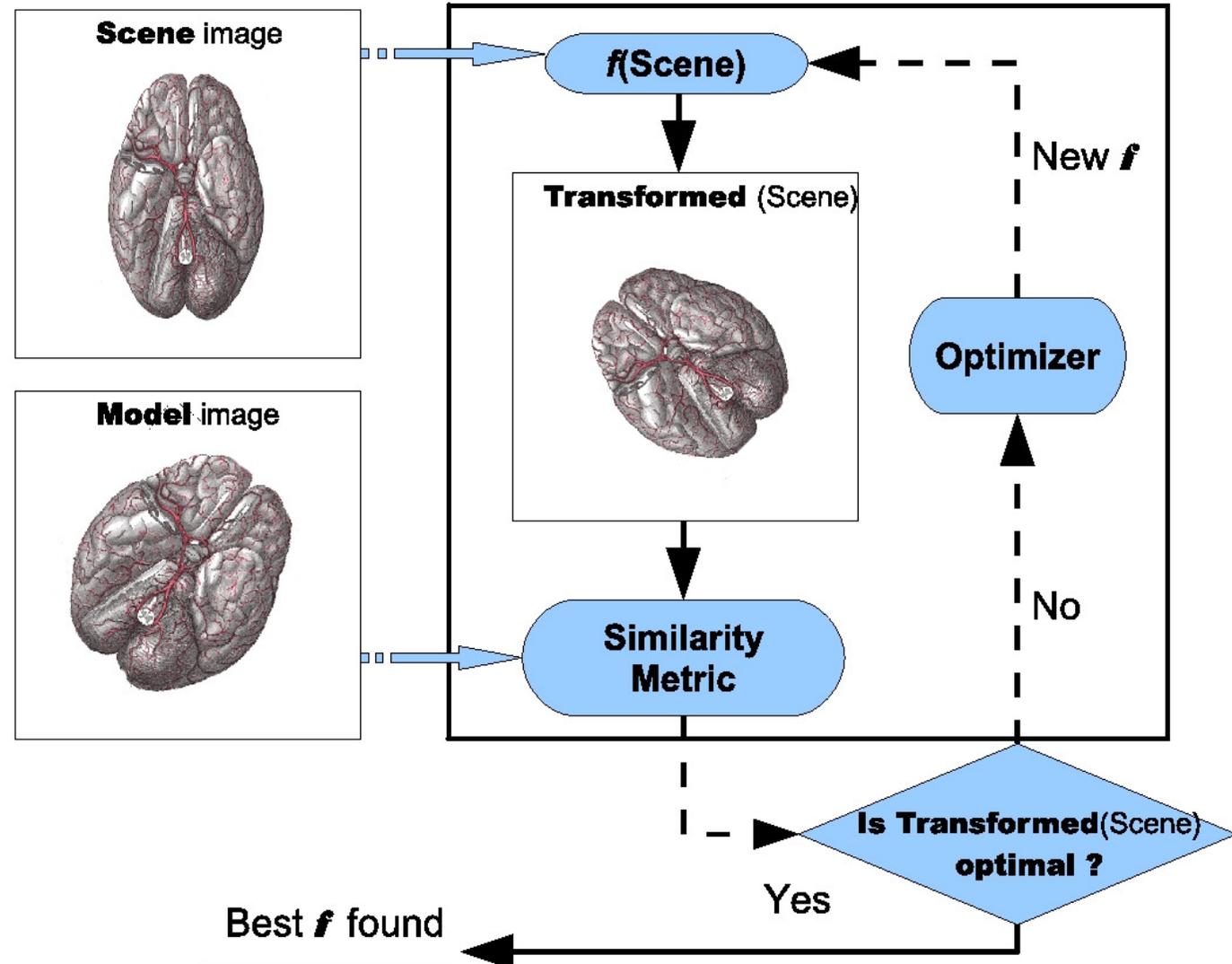
2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions





2. Image registration Problem statement (III)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- The **problem statement** is analogous to some other optimization problems which aim to find the best configuration among a set of choices

$$f^* = \arg \min / \max_f F(I_s, I_m; f) \quad s.t. \quad f^*(I_s) = I_m$$

- **Taxonomy of algorithms:**

- Exact: find the optimal solution (NP-hard)

- Approximate: achieve solutions close to the optimal one in reasonable time

Classical IR methods **➡** stuck in local optima

- **Evolutionary Algorithms (EAs) have successfully tackled these situations**



2. Image registration Problem statement (IV)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

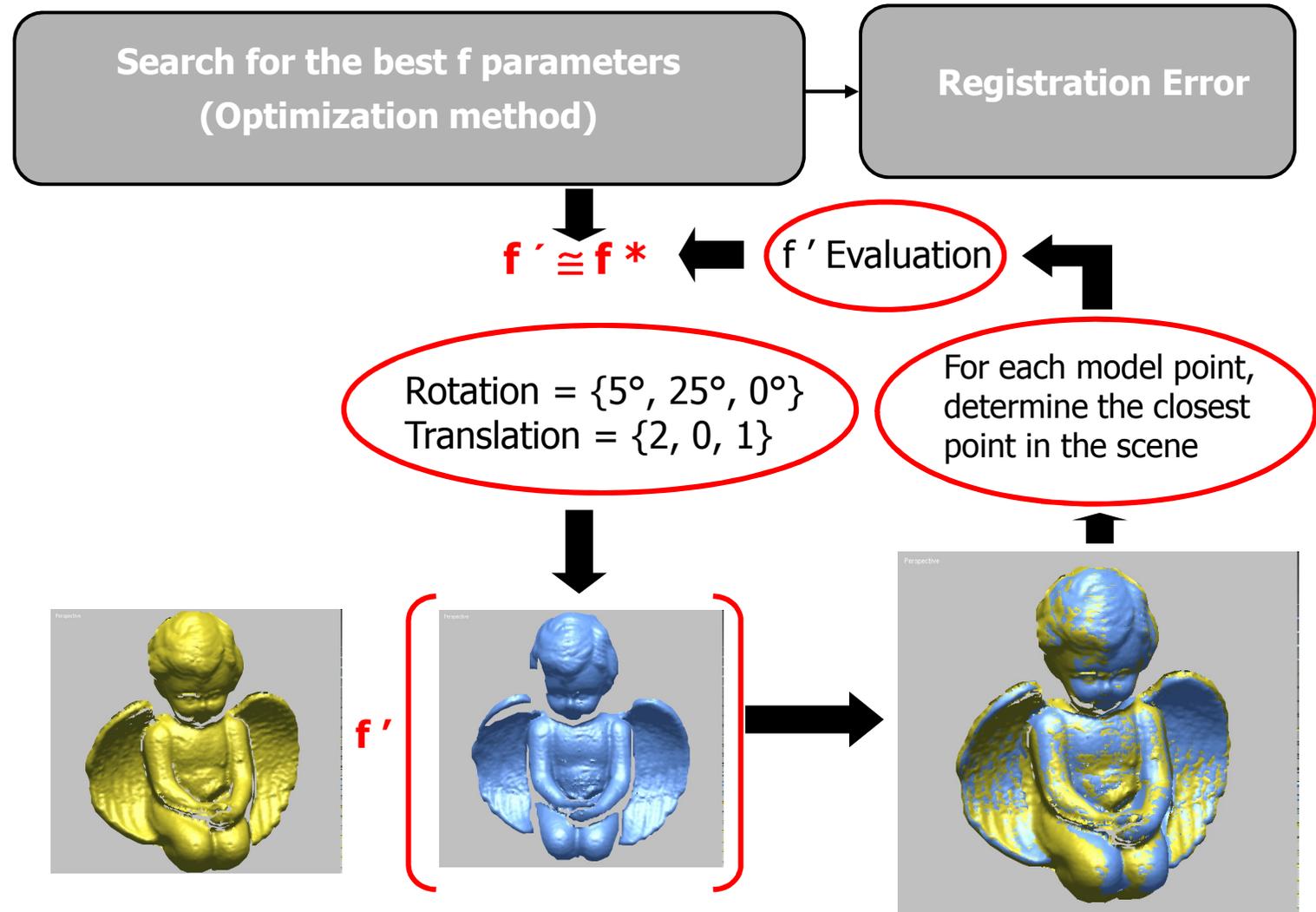
2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions





3. IR, uncertainty and forensic identification = soft computing Image registration and craniofacial superimposition (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- Many forensic tasks require a 3D model of forensic objects (skulls, bones, corpses, etc.) that could be acquired using a 3D range scanner and a range IR (RIR) method
- The most advanced forensic labs use a **3D skull models** to tackle the craniofacial superimposition technique





3. IR, uncertainty and forensic identification = soft computing

Image registration and craniofacial superimposition (II)

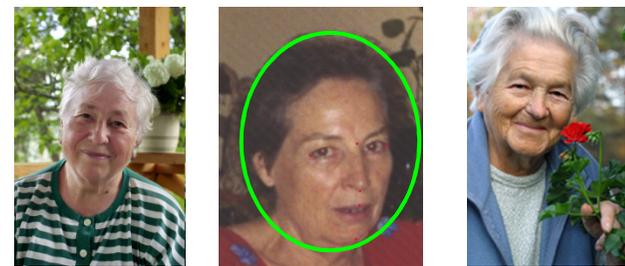
OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
- 3. IR, Uncertainty and FI = Soft Computing**
4. First stage: 3D skull model reconstruction
5. Second stage: Skull-face overlay
6. Conclusions



1. Photo and skull model development

Identification {Positive/negative/
likely positive/likely negative/
indeterminate}



3D model reconstruction

Image processing and landmark location

3D-2D IR: traslation, rotation, scaling, and 2D projection

2. Automatic skull-face overlay

3. Decision making





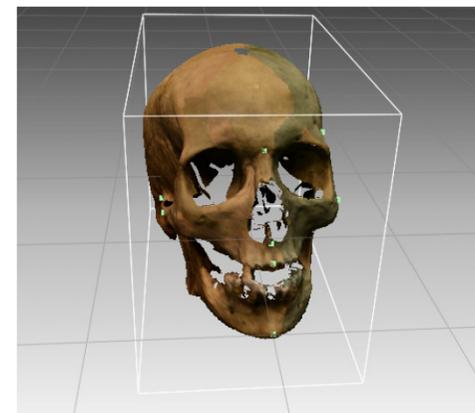
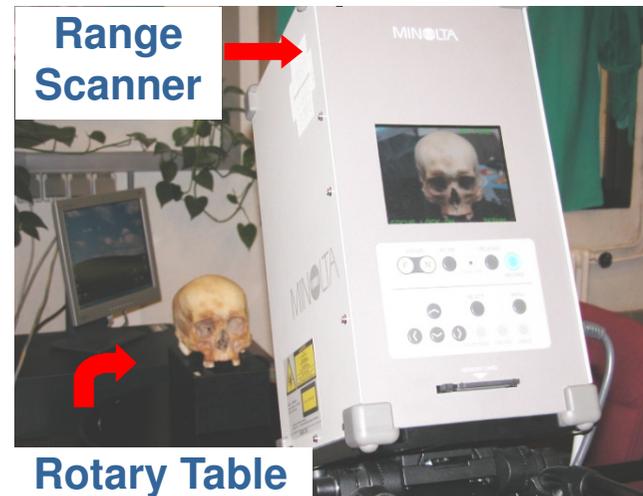
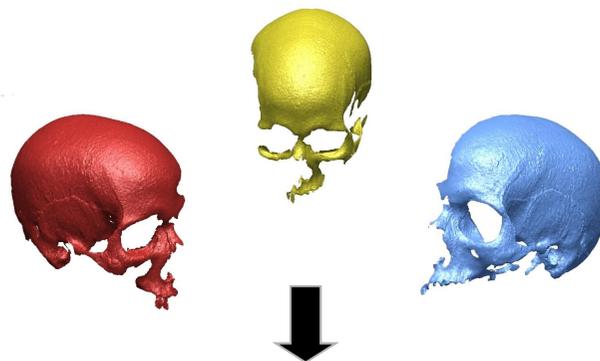
3. IR, uncertainty and forensic identification = soft computing

Computer-based craniofacial superimposition: State of the art (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
- 3. IR, Uncertainty and FI = Soft Computing**
4. First stage: 3D skull model reconstruction
5. Second stage: Skull-face overlay
6. Conclusions

3D partial views



Manual Skull 3D Reconstruction (Pair-wise RIR)
(requires an skilled forensic anthropologist)

Soft computing for forensic identification

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Oscar Cordón

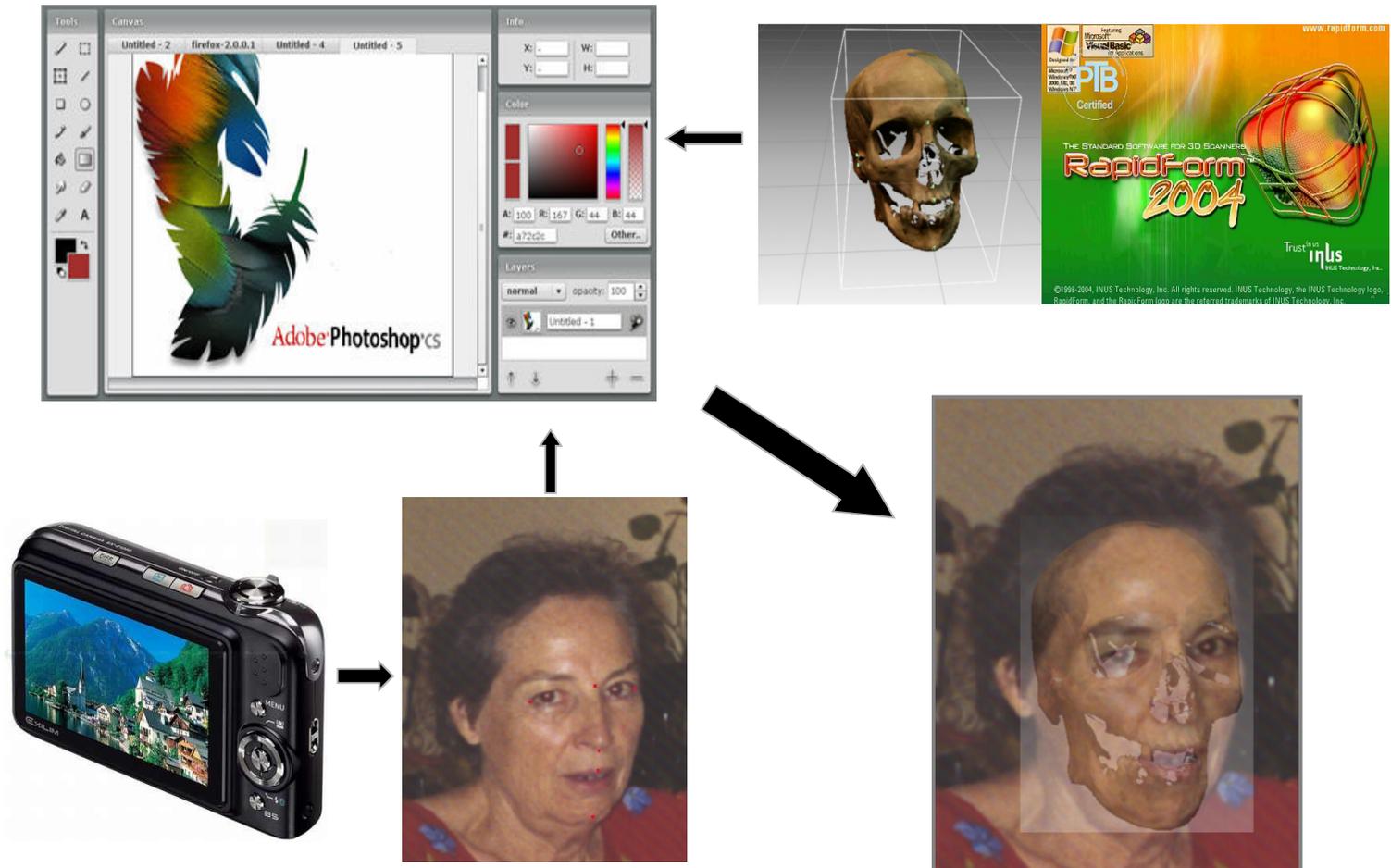


3. IR, uncertainty and forensic identification = soft computing

Computer-based craniofacial superimposition: State of the art (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
- 3. IR, Uncertainty and FI = Soft Computing**
4. First stage: 3D skull model reconstruction
5. Second stage: Skull-face overlay
6. Conclusions



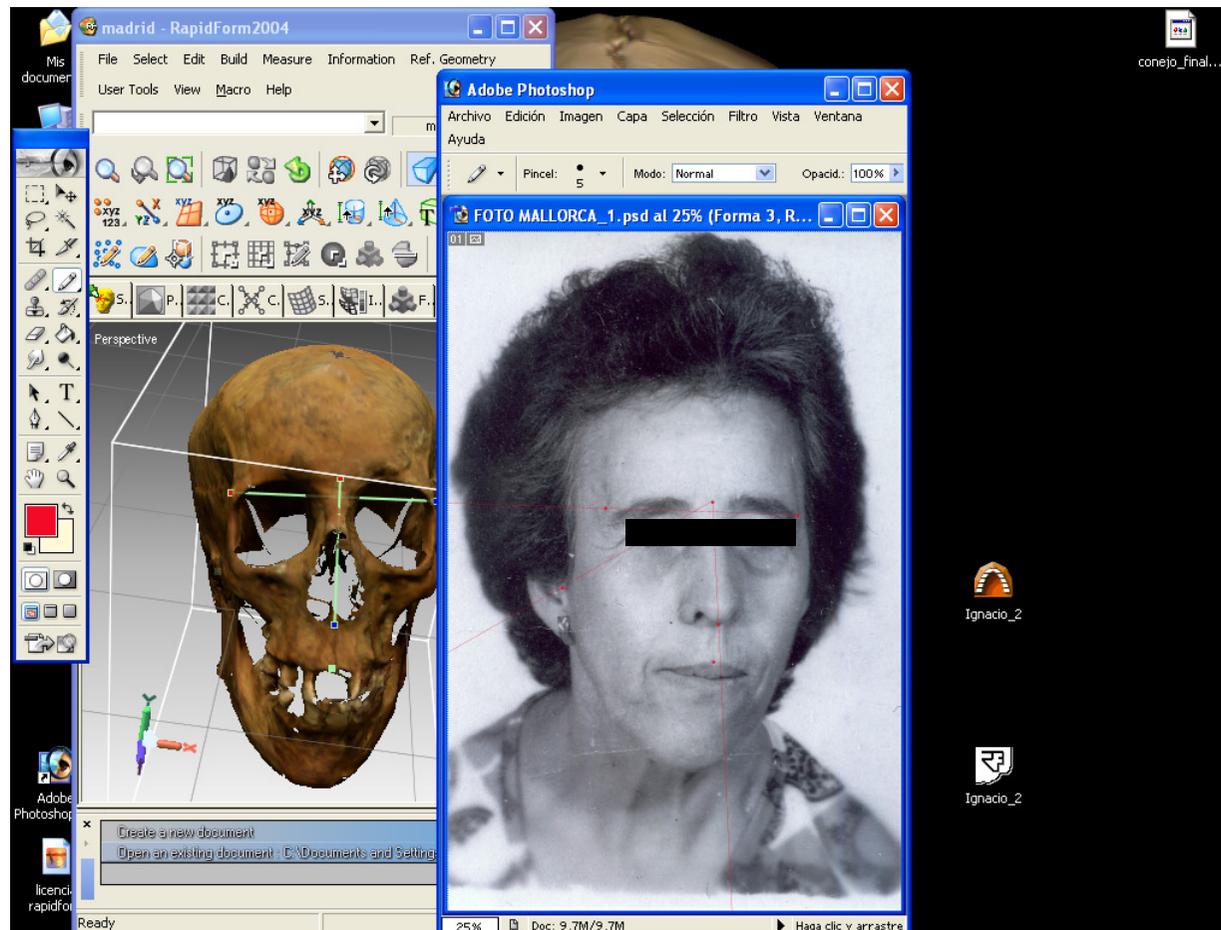
Manual skull-face overlay (very time consuming!)



3. IR, uncertainty and forensic identification = soft computing Computer-based craniofacial superimposition: State of the art (III)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
- 3. IR, Uncertainty and FI = Soft Computing**
4. First stage: 3D skull model reconstruction
5. Second stage: Skull-face overlay
6. Conclusions



Real case of manual craniofacial superimposition



3. IR, uncertainty and forensic identification = soft computing Framework

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

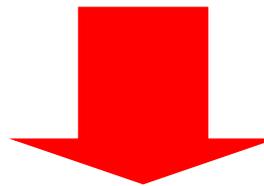
3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- There is a need of **automatic techniques** able to deal
- **properly with incomplete information**
- **Uncertainty** is inherent to landmark location, landmark alignment if a **rotary table** is available
- Even so, the forensic **anthropologist** is not usually very skillful, neither to calibrate the scanner nor to properly match the different views of the skull
- Clear situation of **partial matching**: landmarks are located in a different location in the skull and the face, some of them do not have a correspondence, etc.
- Manual craniofacial superimposition **is very time consuming**
- **Degree of confidence** in the identification result



OPPORTUNITY FOR SOFT COMPUTING !



3. IR, uncertainty and forensic identification = soft computing Research project to automate craniofacial superimposition

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

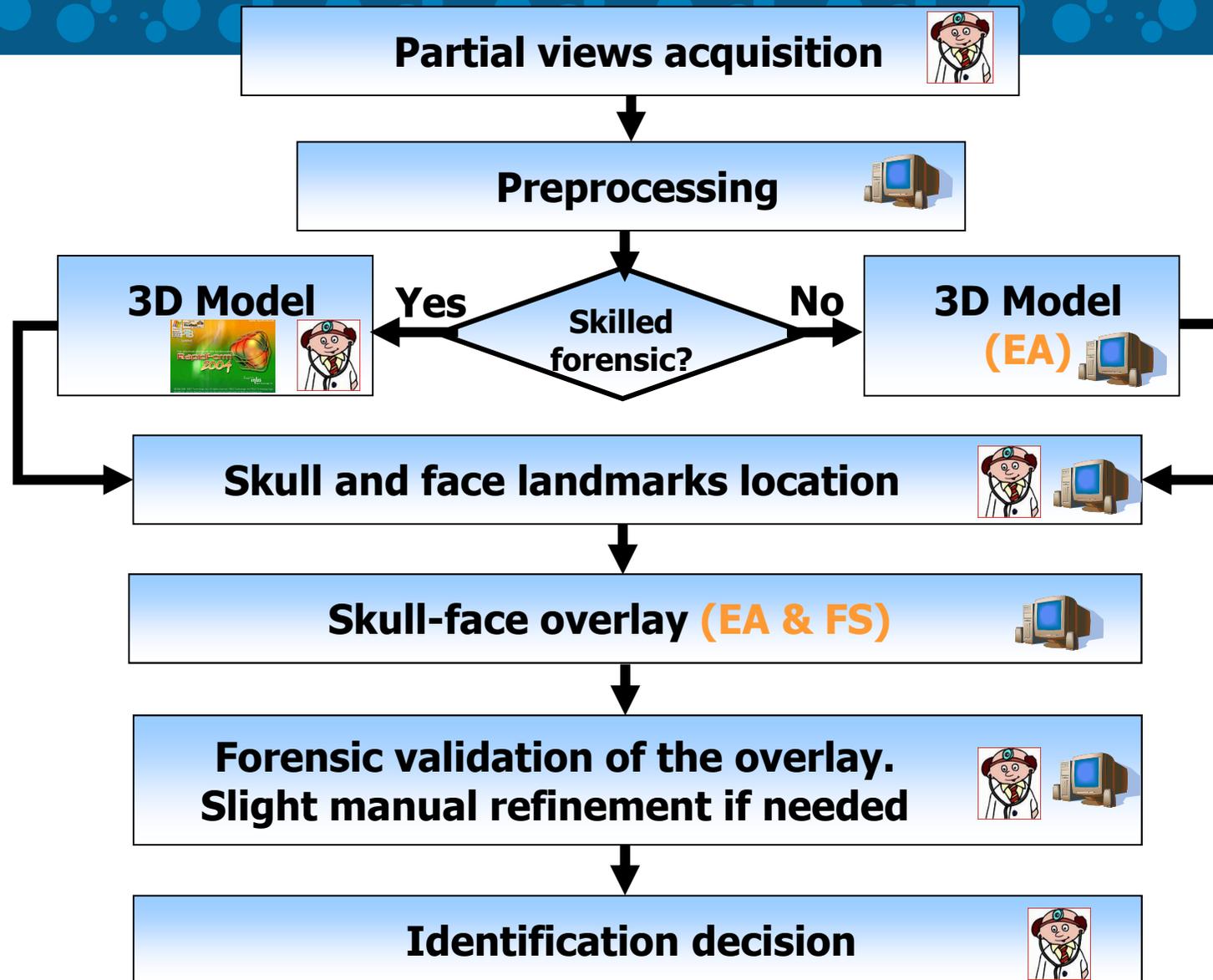
- Development of an **automatic computer-based procedure** to assist the forensic anthropologist in the identification task by **craniofacial superimposition**:
 - Design of automatic RIR methods to achieve accurate 3D models of forensic objects (using EAs)
 - Design of automatic 3D-2D IR methods to perform the skull-face overlay (using EAs and fuzzy sets)
- Initial work supported by two granted projects (national and regional research calls)

3. IR, uncertainty and forensic identification = soft computing

Our computer-based craniofacial superimposition procedure

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
- 3. IR, Uncertainty and FI = Soft Computing**
4. First stage: 3D skull model reconstruction
5. Second stage: Skull-face overlay
6. Conclusions





4. 3D skull model reconstruction using evolutionary algorithms

Problem, requirements and tools

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- High complexity of the scenarios:
 - Accuracy-complexity trade-off: views acquired every 45° (8 per skull): small overlapping between adjacent views
 - Symmetries: multimodal search space
 - Huge data set (around 100.000 points in every view)
 - Rather often wrong acquisition of data even with rotary table and mainly without it
- An automatic and robust RIR method is required being able to deal with these scenarios and to achieve 3D models with a precision of millimeters in a reasonable time
- The flexibility of EAs, their good performance in other IR problems, and our previous experience in medical IR led us to consider these soft computing techniques



4. 3D skull model reconstruction using evolutionary algorithms

Evolutionary algorithm-based proposal (I)

OVERVIEW

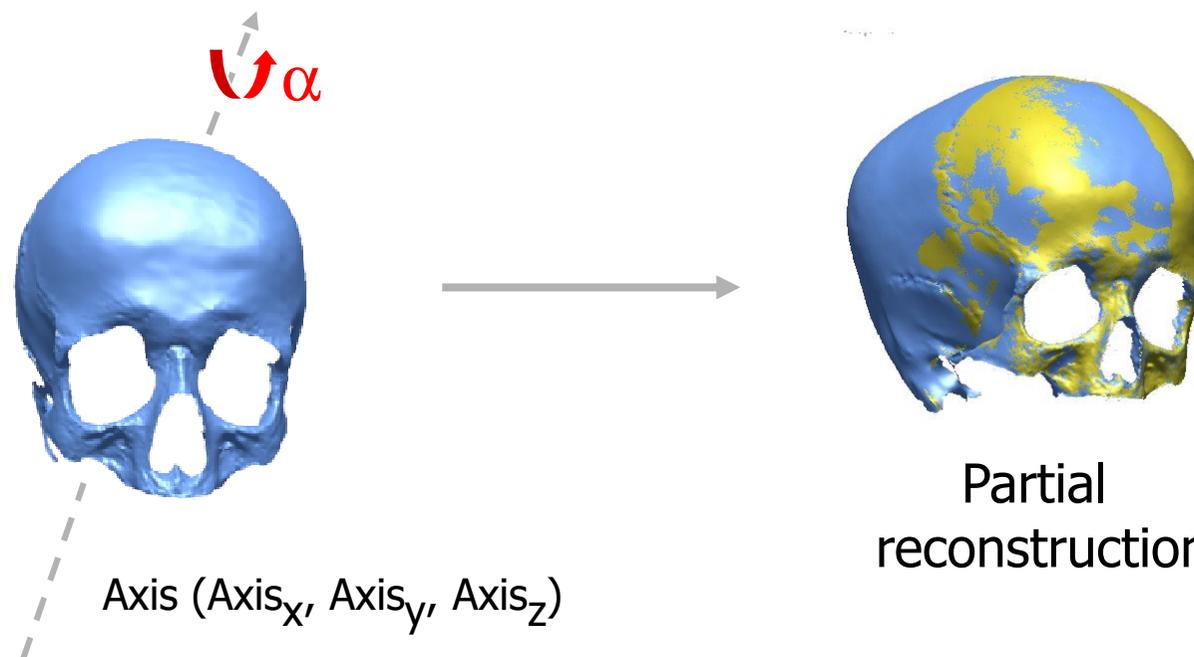
1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
- 4. First stage: 3D skull model reconstruction**
5. Second stage: Skull-face overlay
6. Conclusions

- **Coding scheme:** real-coded vector representing a rigid transformation with seven parameters



Rotation

Translation



Soft computing for forensic identification

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Oscar Cordon



4. 3D skull model reconstruction using evolutionary algorithms

Evolutionary algorithm-based proposal (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- **Coding scheme:** real-coded vector representing a rigid transformation with seven parameters



- **Fitness function:** $F(I_s, I_m; f) = \text{MIN}(\text{Median } SE(I_m, f(I_s)))$

MSE is avoided because of the small overlapping between adjacent views

- GCP and KD-Tree data structures are used to speed up the closest point computation



4. 3D skull model reconstruction using evolutionary algorithms

Evolutionary algorithm-based proposal (III)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

**4. First stage:
3D skull model
reconstruction**

5. Second stage:
Skull-face overlay

6. Conclusions

- **EA composition:** Real-coding memetic algorithms.
Combination of:
 - 3 advanced EAs as global search method: CHC, differential evolution, SS
 - 3 different local search (LS) methods: Powell, SolisWets, XLS
- Different LS application mechanisms and intensification-diversification trade-offs have been considered
- **Overall, the best evolutionary RIR method for 3D skull reconstruction is based on SS, XLS, a deterministic LS application, and the largest intensification level**



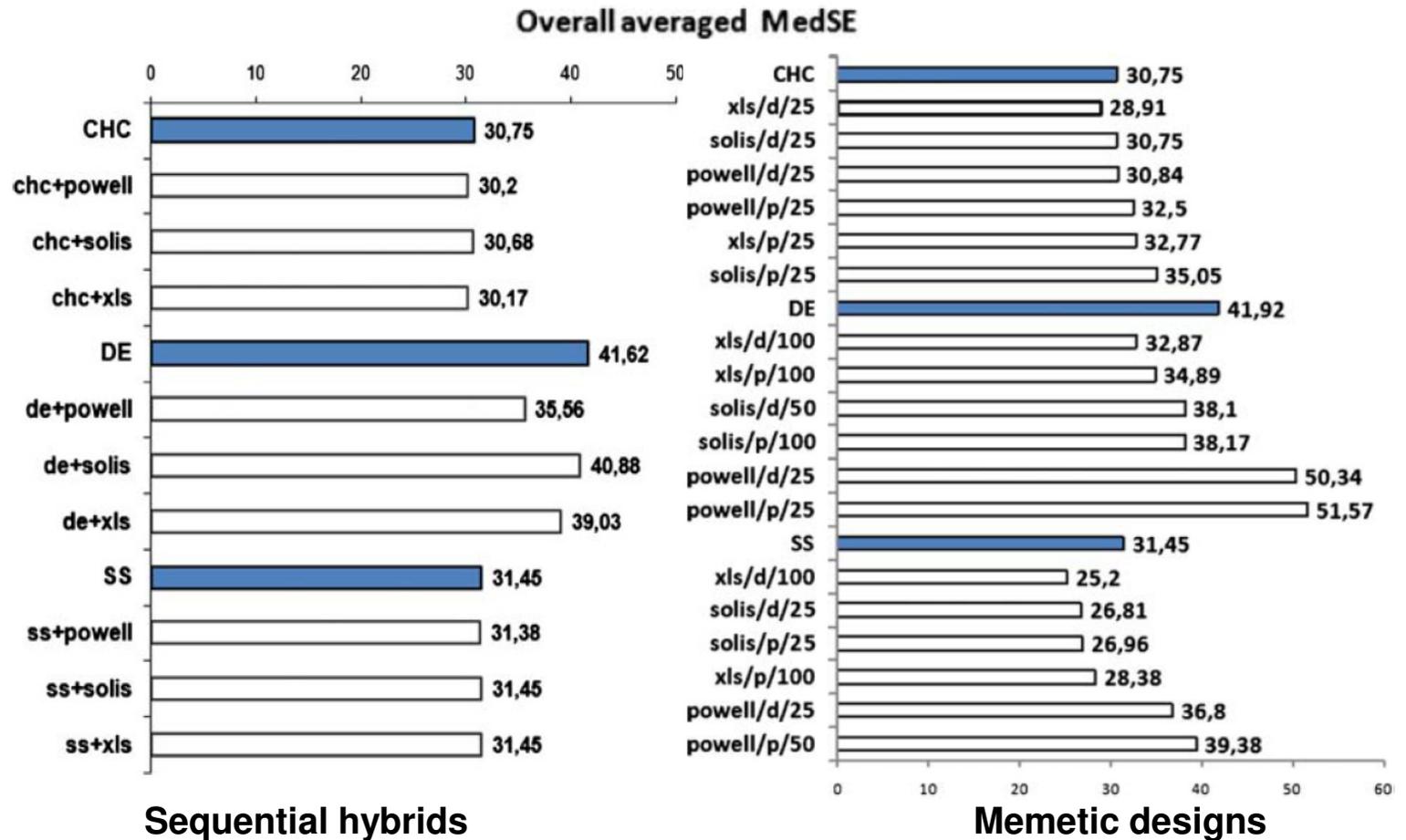
4. 3D skull model reconstruction using evolutionary algorithms

Evolutionary algorithm-based proposal (IV)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
- 4. First stage: 3D skull model reconstruction**
5. Second stage: Skull-face overlay
6. Conclusions

- Good MA designs significantly improve both the basic EAs and the classical RIR methods based on sequential hybridizations:





4. 3D skull model reconstruction using evolutionary algorithms

Automatic skull feature extraction (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

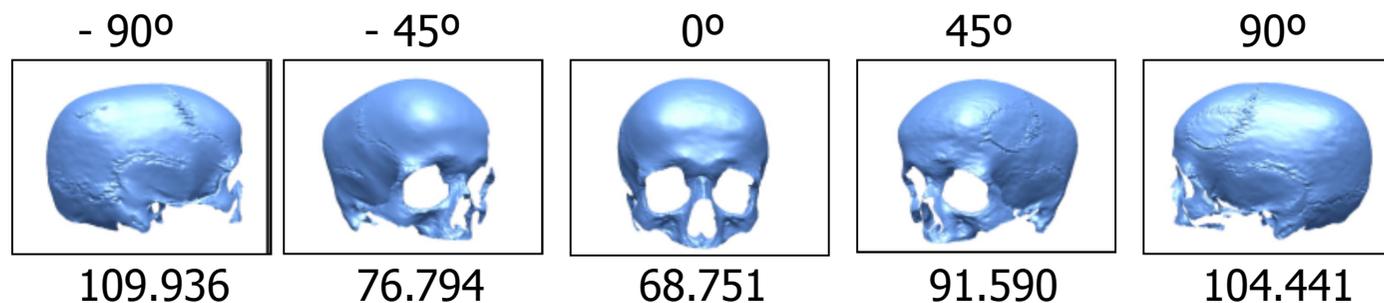
3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- **Range IR of the five frontal views** of a skull acquired using the laser range scanner of the Physical Anthropology Lab of the University of Granada (*Konica-Minolta*® VI-910)



- **Automatic extraction of invariant features to reduce dimensionality**



4. 3D skull model reconstruction using evolutionary algorithms

Automatic skull feature extraction (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

• Data simplification (point reduction)

		Views/Images				
		270°	315°	0°	45°	90°
Original	<i>Skull₁</i>	109936	76794	68751	91590	104441
	<i>Skull₂</i>	121605	116617	98139	118388	128163
	<i>Skull₃</i>	116937	107336	88732	111834	123445
	<i>Skull₄</i>	129393	124317	102565	125859	137181
	<i>Skull₅</i>	110837	102773	83124	101562	110313
Features	<i>Skull₁</i>	5199	915	2901	2948	1655
	<i>Skull₂</i>	7304	10347	11106	12676	11143
	<i>Skull₃</i>	9023	10745	8318	12265	10361
	<i>Skull₄</i>	8593	11020	14844	12285	10025
	<i>Skull₅</i>	9419	9852	10764	10308	9175



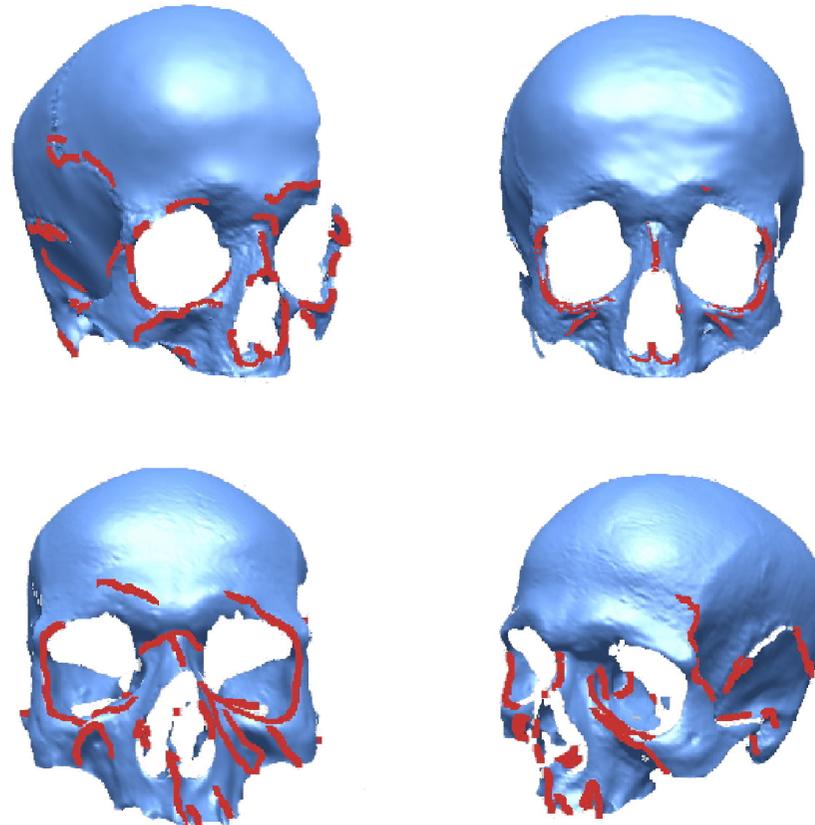
4. 3D skull model reconstruction using evolutionary algorithms

Results (I)

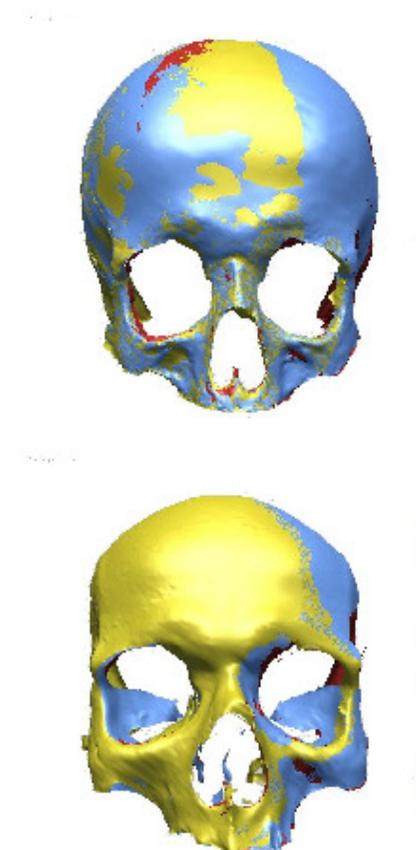
OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
- 4. First stage: 3D skull model reconstruction**
5. Second stage: Skull-face overlay
6. Conclusions

3D views: input



Reconstruction



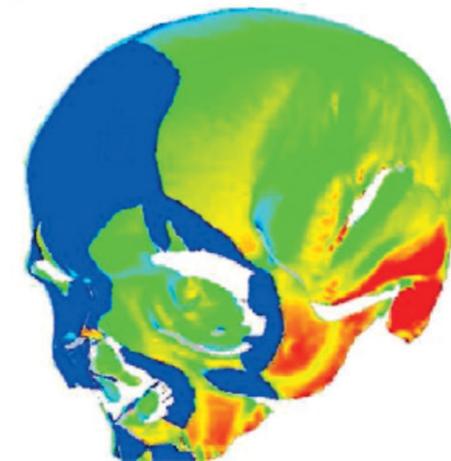
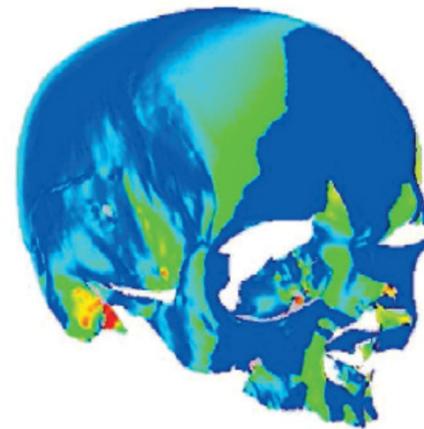
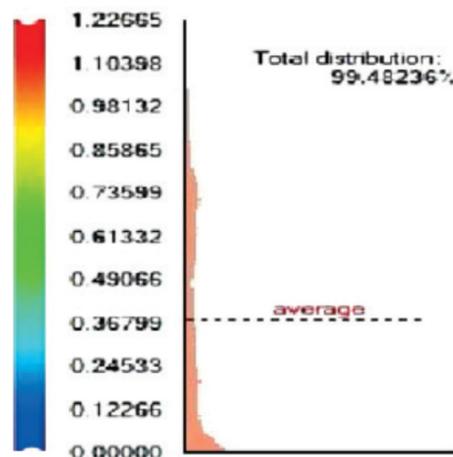


4. 3D skull model reconstruction using evolutionary algorithms Results (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
- 4. First stage: 3D skull model reconstruction**
5. Second stage: Skull-face overlay
6. Conclusions

- Reconstruction **error: less than 1 mm**
- 3D reconstruction **time: 2 minutes**
- Method robustness: **low standard deviation in 30 different runs**





5. Skull-face overlay using EAs and fuzzy sets

Problem issues, requirements and tools

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

Again, very complex problem:

- The available photographs are provided by the family:
 - Not always good quality, neither good pose
 - Landmarks may be occluded
 - Camera data are unknown
- **Uncertainty** is inherent both to the landmark location and matching (the latter due to the flesh lack in the skull)
- Skull-face overlay is a **very time consuming trial and error manual procedure**
- Need of **automatic techniques** for skull-face overlay (3D-2D IR) being robust, fast, and able to deal with incomplete information
- We will exploit the **suitability of EAs and FS** to tackle the IR problem and to deal with the sources of uncertainty, respectively

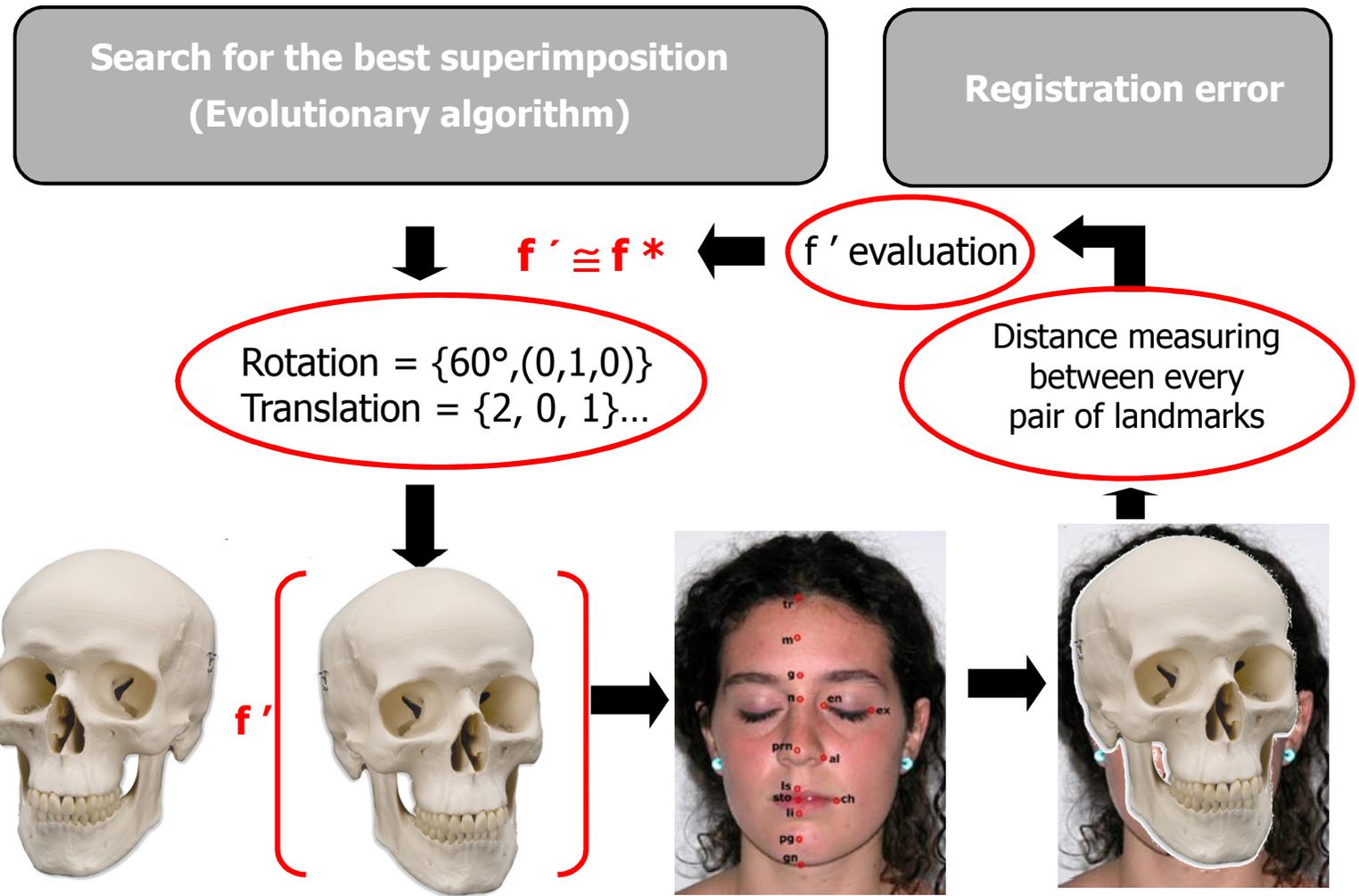


5. Skull-face overlay using EAs and fuzzy sets

Considered methodology

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions





5. Skull-face overlay using EAs and fuzzy sets

Our proposal

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

- Evolutionary 3D skull-2D face IR problem with a complex registration transformation: translation, rotation, scaling, and projection. Twelve parameters
- Real-coding scheme, better suited for IR
- Advanced EAs: elitist GA, binary tournament, BLX- α /SBX crossovers, random mutation. CMA-ES, SS, multimodal GAs, co-evolutionary approaches, ...
- Realistic conditions: Variable number of landmarks according to the photograph and the skull conditions. Robustness under multiple runs to allow a single run
- Fitness function: mean of the distances between the facial and the projected cranial landmarks (**mean error, ME**)



5. Skull-face overlay using EAs and fuzzy sets

New proposal: registration transformation (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

- The final solution to the skull-face overlay problem should be the transformation making the 3D skull model become accurately located in the same pose of the missing person in the photo
- There are two important moments to be considered:



Photograph acquisition



Skull model acquisition

- Replicating the scenario where the photograph was acquired is rather complex because of the number of unknowns involved in the process (**even more than camera calibration in CV**)



5. Skull-face overlay using EAs and fuzzy sets

New proposal: registration transformation (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- The registration transformation to be estimated includes a rotation (R), a scaling (S), a translation (T), and a perspective projection (P)
- Given two sets of 2D facial and 3D cranial landmarks:

$$F = \begin{bmatrix} x_{f_1} & y_{f_1} & 1 & 1 \\ x_{f_2} & y_{f_2} & 1 & 1 \\ \vdots & \vdots & \vdots & \vdots \\ x_{f_N} & y_{f_N} & 1 & 1 \end{bmatrix}, \quad C = \begin{bmatrix} x_{c_1} & y_{c_1} & z_{c_1} & 1 \\ x_{c_2} & y_{c_2} & z_{c_2} & 1 \\ \vdots & \vdots & \vdots & \vdots \\ x_{c_N} & y_{c_N} & z_{c_N} & 1 \end{bmatrix}$$

the aim is to solve an over-determined system of equations with 12 unknowns ($r_x, r_y, r_z, d_x, d_y, d_z, \theta, s, t_x, t_y, t_z, \phi$):

$$F = f(C) = C \cdot R \cdot S \cdot T \cdot P$$

where: $R = (A \cdot D_1 \cdot D_2 \cdot \Theta \cdot D_2^{-1} \cdot D_1^{-1} \cdot A^{-1})$



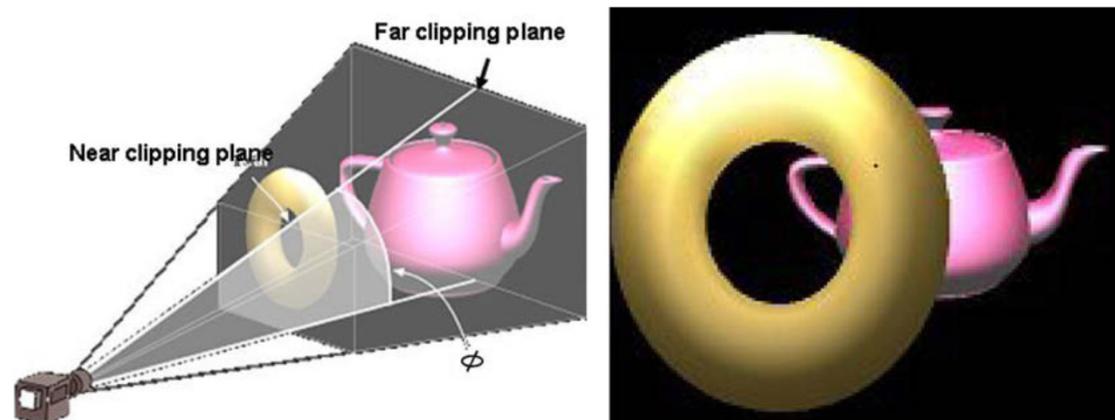
5. Skull-face overlay using EAs and fuzzy sets

New proposal: registration transformation (III)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

- Projective transformations are hard to be estimated. Cameras use them to provide a realistic picture of the scene from the observer's viewpoint
- In computer graphics, the pinhole camera is modeled using a frustum given by the near clipping plane (NCP) and the far clipping plane (FCP):



- The frustum determines the visible region



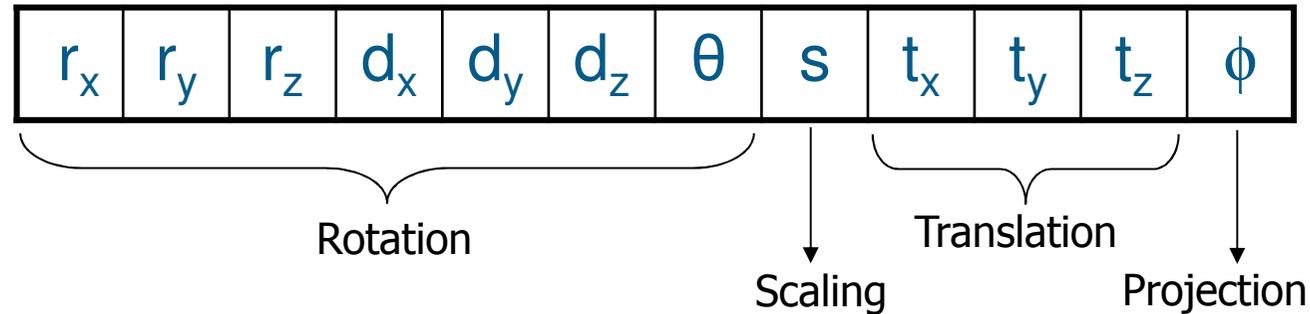
5. Skull-face overlay using EAs and fuzzy sets

New proposal: registration transformation (IV)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

• Thus, our coding scheme is a vector of 12 real values:



ranging in the following intervals:

$$r_i \in [\text{Centroid} - \text{radius}, \text{Centroid} + \text{radius}], \quad i \in \{x, y, z\}$$

$$d_i \in [-1, 1], \quad i \in \{x, y, z\}$$

$$\theta \in [0^\circ, 360^\circ]$$

$$s \in [0.25, 2]$$

$$\phi \in [10^\circ, 150^\circ]$$

$$t_x \in [-\text{length}_{\text{FB}} - (C_x + \text{radius}), \text{length}_{\text{FB}} - (C_x - \text{radius})]$$

$$t_y \in [-\text{length}_{\text{FB}} - (C_y + \text{radius}), \text{length}_{\text{FB}} - (C_y - \text{radius})]$$

$$t_z \in [\text{NCP} - (C_z + \text{radius}), \text{FCP} - (C_z - \text{radius})]$$

where:

$$\text{radius} = \max(\| \text{Centroid} - C_j \|)$$

FB is the frustum Base

$$\text{length}_{\text{FB}} = \frac{(\min_{\text{FD}} + \text{FCP}) * \sin(\frac{\phi_{\text{max}}}{2})}{\sin(90^\circ - (\frac{\phi_{\text{max}}}{2}))}$$

with FD being the Focal Distance and

$$\min_{\text{FD}} = \frac{1}{\tan(\frac{\phi_{\text{max}}}{2})}$$



5. Skull-face overlay using EAs and fuzzy sets

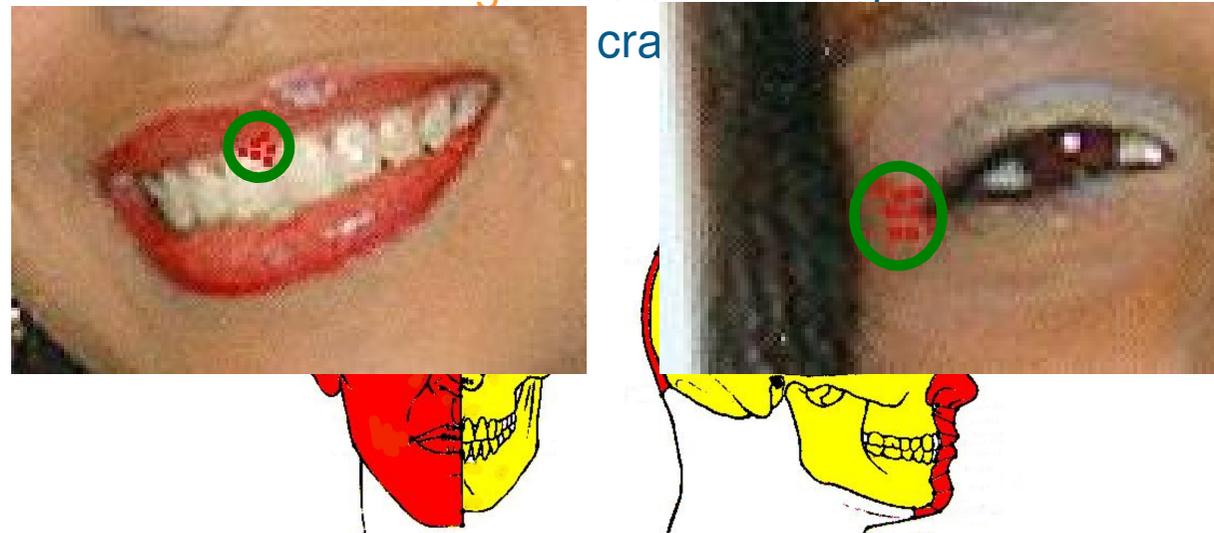
Kinds of uncertainty in skull-face overlay (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

Two different sources of uncertainty:

1. **Inherent uncertainty** associated with the **two different objects under study** (a skull and a face):
 - **Landmark location:** Every forensic expert is prone to locate the landmarks in a slightly different place
 - **Landmark matching:** Partial matching of the two landmark





5. Skull-face overlay using EAs and fuzzy sets

Kinds of uncertainty in skull-face overlay (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

2. Uncertainty associated with the 3D skull-2D photo overlay process:

• **Landmark location:** Difficulty to select a good (cephalometric) landmark set due to the photo conditions:

• face pose, partial occlusions, and poor image quality

• Forensic anthropologists are prone to locate only those landmarks which can be unquestionably identified!

• **Landmark matching:** The selected reduced landmark set is usually coplanar or near-coplanar:

• the equation system becomes undetermined and the 3D-2D IR process gets inaccurate results

• The preferred photos by the forensic anthropologists are usually those with a frontal pose!



5. Skull-face overlay using EAs and fuzzy sets

Fuzzy landmarks to jointly tackle location and coplanarity problems (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- Each cephalometric landmark is a **fuzzy point defined by a bi-dimensional fuzzy set**. The higher the uncertainty related to a landmark → the broader the fuzzy region
- **Solution for the two landmark location problems:**
 - The inherent difficulty to locate the landmark in the right place
 - The complexity of locating a significant and unquestionable number of landmarks in a photo
- Thanks to the flexibility given to the forensic expert, (s)he is able to mark a larger number of landmarks located in different planes, thus **also solving the coplanarity problem**



5. Skull-face overlay using EAs and fuzzy sets

Fuzzy landmarks to jointly tackle location and coplanarity problems (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

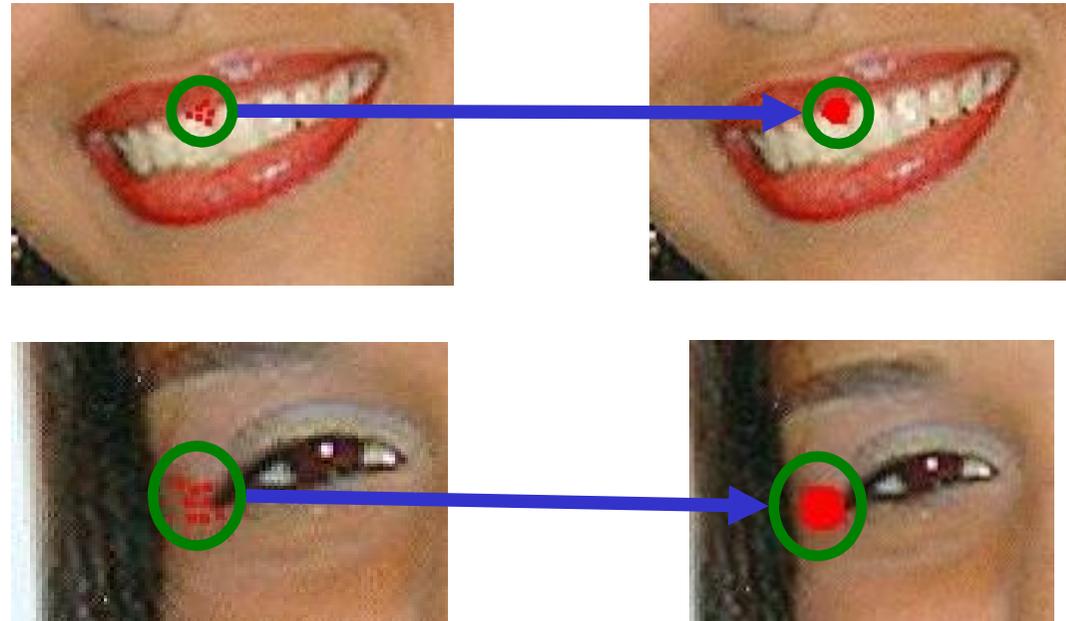
2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions



- There is a mask with the membership degree of each pixel to the fuzzy point associated to every landmark
- Need of a new fitness function considering a distance between crisp and fuzzy points

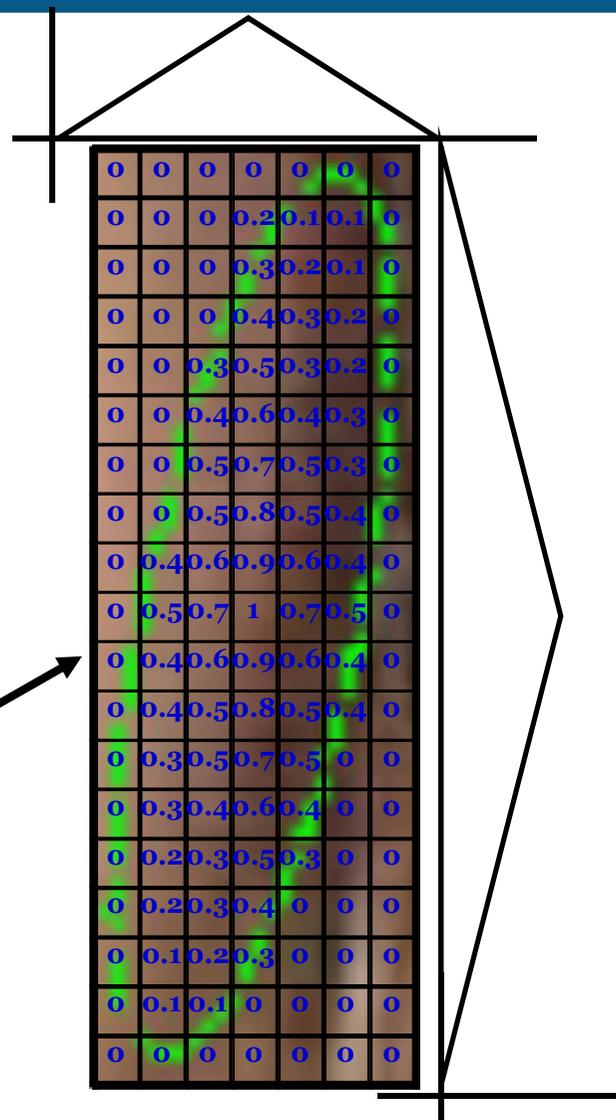
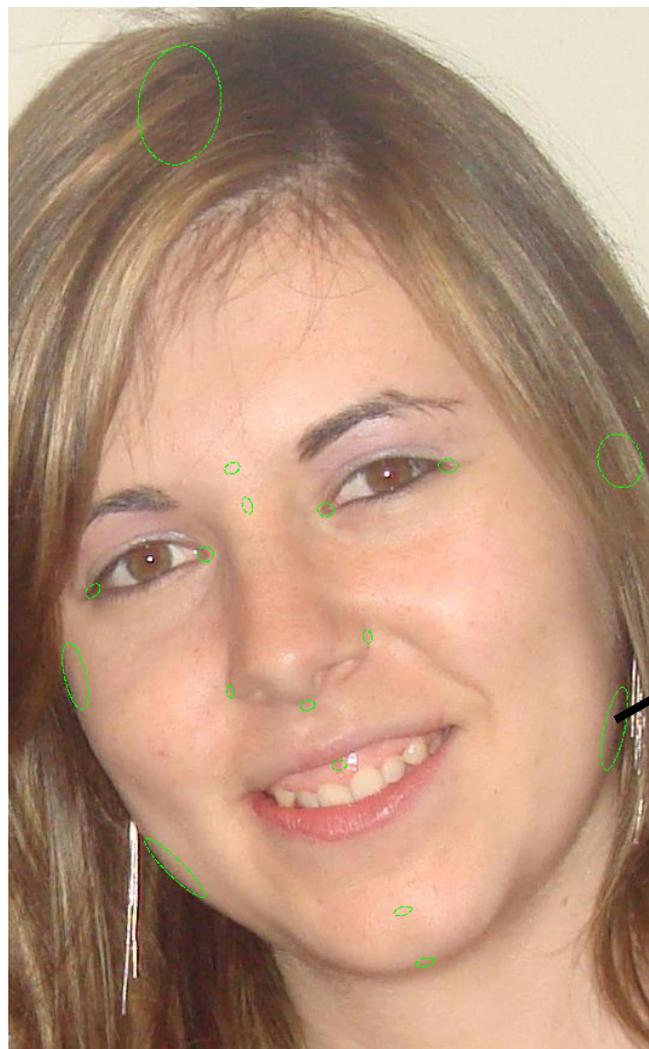


5. Skull-face overlay using EAs and fuzzy sets

Fuzzy landmarks to jointly tackle location and coplanarity problems (III)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions





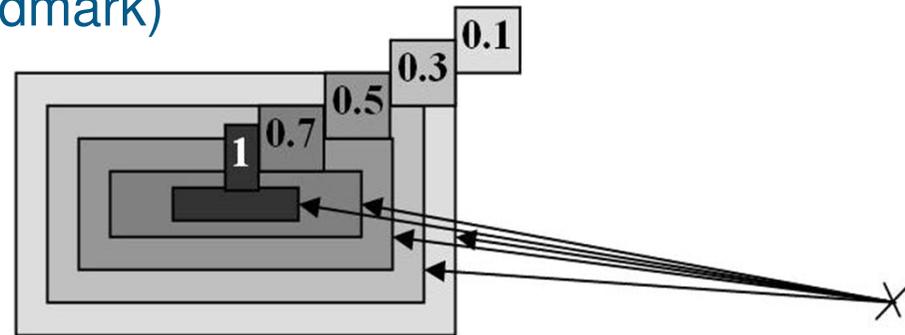
5. Skull-face overlay using EAs and fuzzy sets

Fuzzy landmarks to jointly tackle location and coplanarity problems (IV)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

- α -cuts to calculate the distance from a crisp point (projected craniometric landmark) to a fuzzy point (cephalometric landmark)



- Crisp-fuzzy distance and new fitness function:

$$d^*(x, \tilde{F}) = \frac{\sum_{i=1}^m d_i \cdot \alpha_i}{\sum_{i=1}^m \alpha_i}$$

$$\text{fuzzy ME} = \frac{\sum_{i=1}^N d^*(f(cl^i), \tilde{F}^i)}{N}$$



5. Skull-face overlay using EAs and fuzzy sets Experiments (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

Experimental design:

- **Target:** compare manual vs. automatic SFO results using either near-coplanar crisp or fuzzy cephalometric landmarks
- **Robustness analysis:** accuracy dispersion in 30 different runs
- **Real identification cases previously solved by the Physical Anthropology Lab at the UGR for the Spanish scientific police**
- **ME is not valid (two different landmark sets)!**
- **Qualitative analysis** → visual comparison of the overlay results by the forensic anthropologists
- **Quantitative analysis** → percentage of the head boundary not covered by the projected skull boundary (manually defined by the forensic experts)

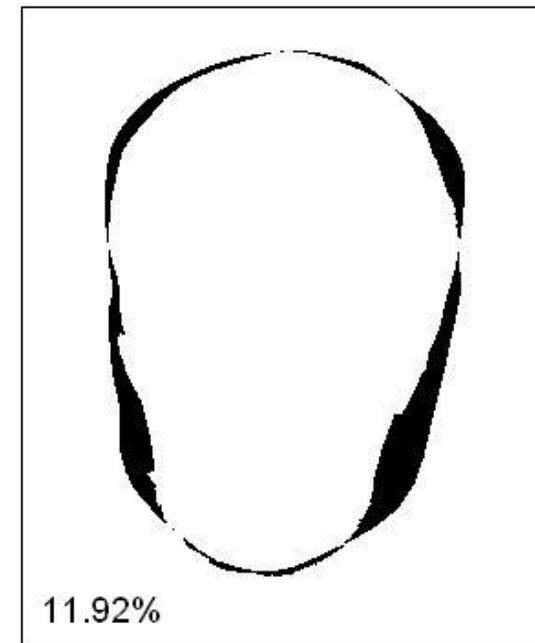
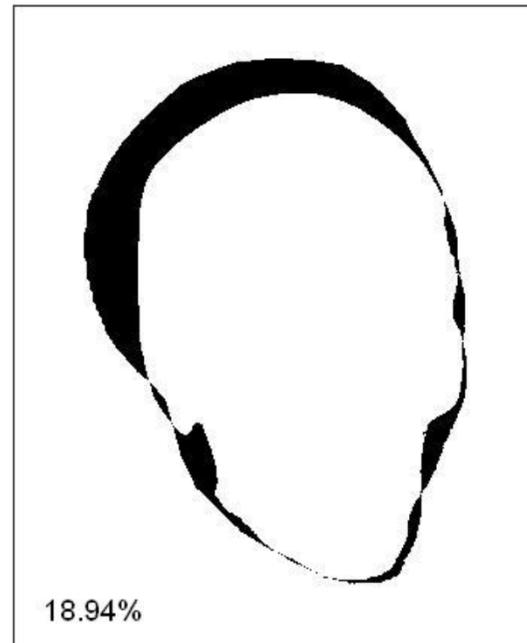


5. Skull-face overlay using EAs and fuzzy sets Experiments (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

Area Deviation Error:



It is not a perfect measure (no information on accuracy of the inner skull parts fitting) **but at least it is objective** (and complementary)!



5. Skull-face overlay using EAs and fuzzy sets

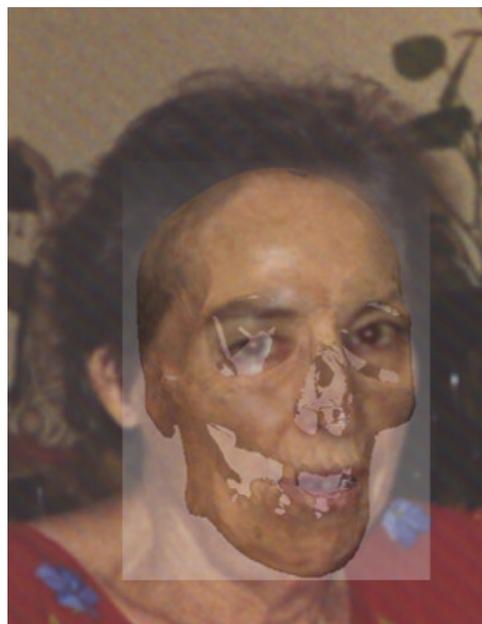
Results: Malaga case study

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

Malaga case study: Overlays comparison

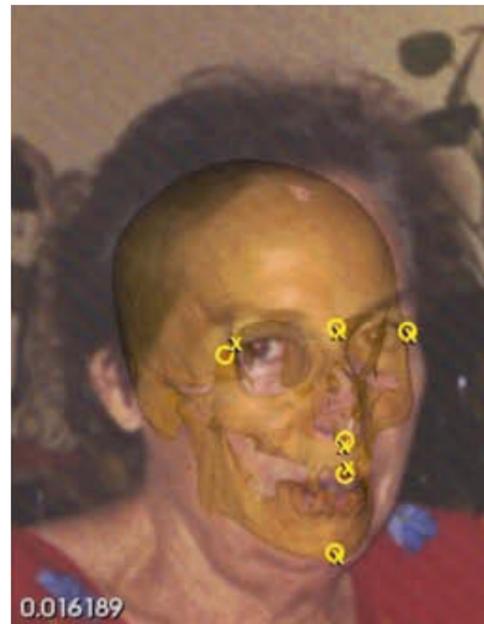
Manual



Area deviation error: 34.70%

several hours

CMA-ES
(6 landmarks)



Area deviation error: 28.73%

15 seconds

Fuzzy CMA-ES
(15 landmarks)



Area deviation error: 13.23%

2-4 minutes



5. Skull-face overlay using EAs and fuzzy sets

Results: Cádiz case study (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

• Cádiz case study, pose 1: Overlays comparison

Manual



Area deviation
error: 32.64%

several hours

CMA-ES
(8 landmarks)



Area deviation
error: 18.22%

18 seconds

Fuzzy CMA-ES
(10 landmarks)



Area deviation
error: 15.84%

2-4 minutes



5. Skull-face overlay using EAs and fuzzy sets

Results: Cádiz case study (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

• Cádiz case study, pose 2: Overlays comparison

Manual



Area deviation error: 31.58%

several hours

CMA-ES
(9 landmarks)



Area deviation error: 50.28%

18 seconds

Fuzzy CMA-ES
(14 landmarks)



Area deviation error: 27.96%

2-4 minutes



5. Skull-face overlay using EAs and fuzzy sets

Results: Cádiz case study (III)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

• Cádiz case study, pose 3: Overlays comparison

Manual



Area deviation error: 31.84%

several hours

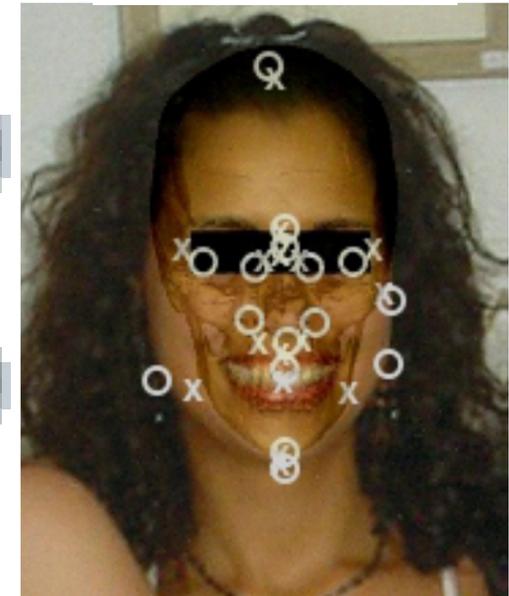
CMA-ES
(11 landmarks)



Area deviation error: 42.84%

18 seconds

Fuzzy CMA-ES
(16 landmarks)



Area deviation error: 21.26%

2-4 minutes



5. Skull-face overlay using EAs and fuzzy sets

Results: Cádiz case study (IV)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

🔗 Cádiz case study, pose 4: Overlays comparison

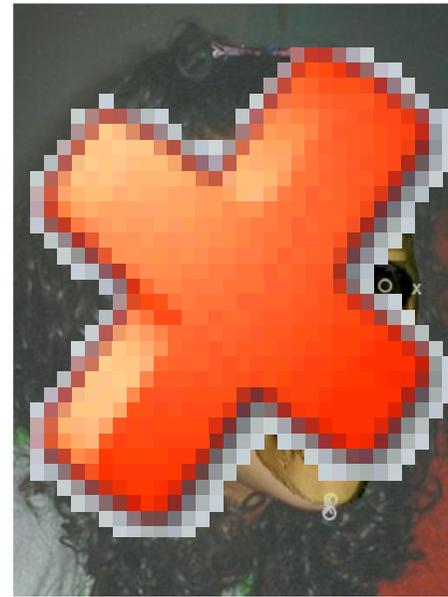
Manual



Area deviation error: 38.22%

several hours

CMA-ES
(12 landmarks)



Area deviation error: 53.85%

18 seconds

Fuzzy CMA-ES
(15 landmarks)



Area deviation error: 18.95%

2-4 minutes



5. Skull-face overlay using EAs and fuzzy sets

Results: Morocco case study (I)

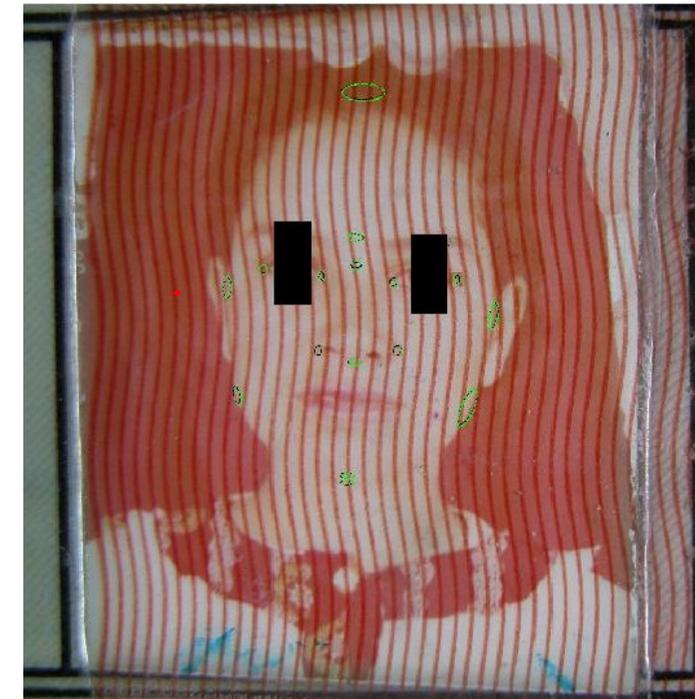
OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

- Very complex real case. **Cádiz (Spain)**. Single, low quality, passport photo:



6 crisp landmarks



16 fuzzy landmarks



5. Skull-face overlay using EAs and fuzzy sets

Results: Morocco case study (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

• Morocco case study: Overlays comparison

Manual



Area deviation error: 31.73%

several hours

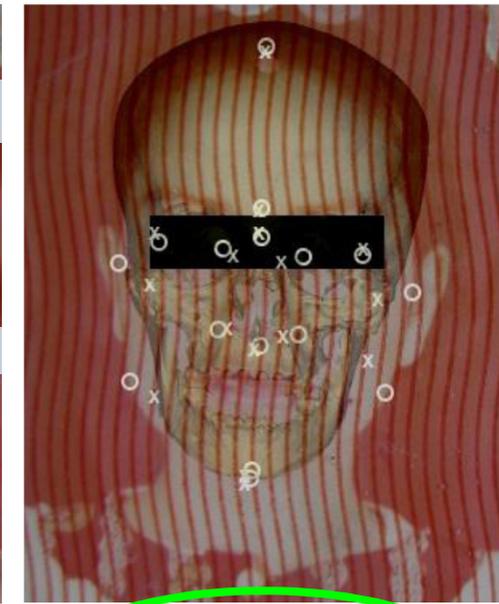
CMA-ES
(6 landmarks)



Area deviation error: 32.63%

15 seconds

Fuzzy CMA-ES
(16 landmarks)



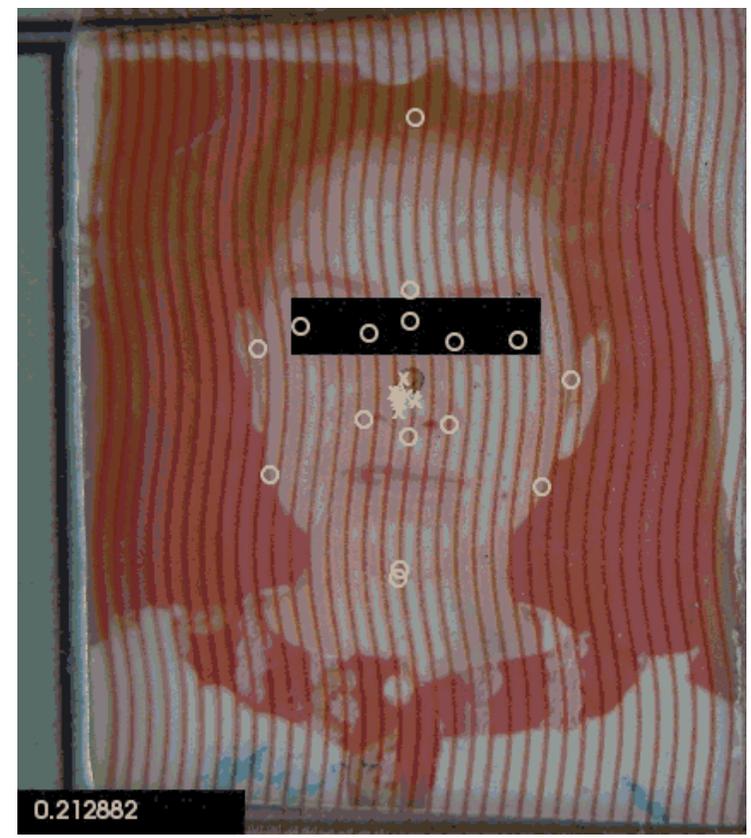
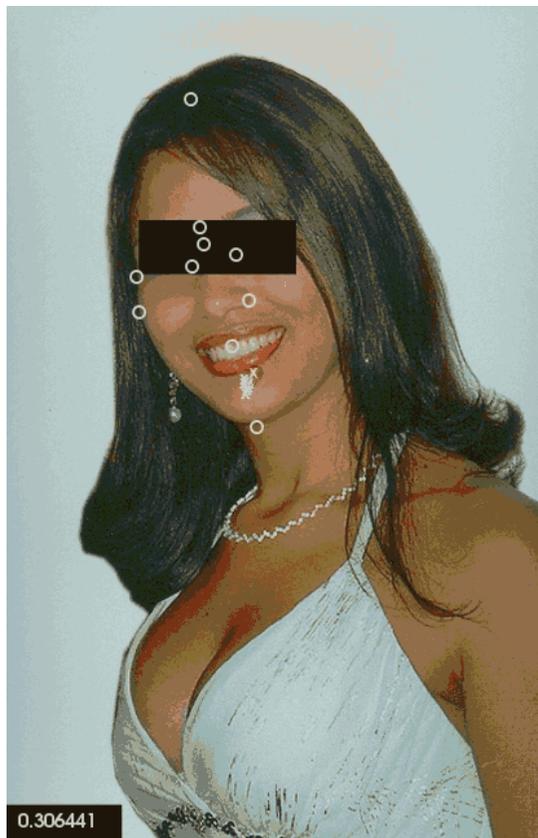
Area deviation error: 11.92%

2-4 minutes



5. Skull-face overlay using EAs and fuzzy sets Results: Example runs

Fuzzy CMA-ES example runs:





5. Skull-face overlay using EAs and fuzzy sets

Results: Granada case study

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

• Another real case (Granada, Spain):

Manual superimposition



Area deviation error:
13.81%

several hours

Fuzzy CMA-ES superimposition



Area deviation error:
4.73%

2-4 minutes



5. Skull-face overlay using EAs and fuzzy sets Results: Alhambra case study (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

- Last real case (Alhambra surroundings, Granada, Spain):
First use of our method for a Spanish police identification!

Manual superimposition



Area deviation error:
28.26%

several hours

Fuzzy CMA-ES superimposition



Area deviation error:
21.79%

2-4 minutes



5. Skull-face overlay using EAs and fuzzy sets Results: Alhambra case study (II)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
- 5. Second stage: Skull-face overlay**
6. Conclusions

- Last real case (second photograph):

Manual superimposition



Area deviation error:
37.54%

several hours

Fuzzy CMA-ES superimposition



Area deviation error:
21.04%

2-4 minutes



5. Skull-face overlay using EAs and fuzzy sets

Results: Negative cases (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

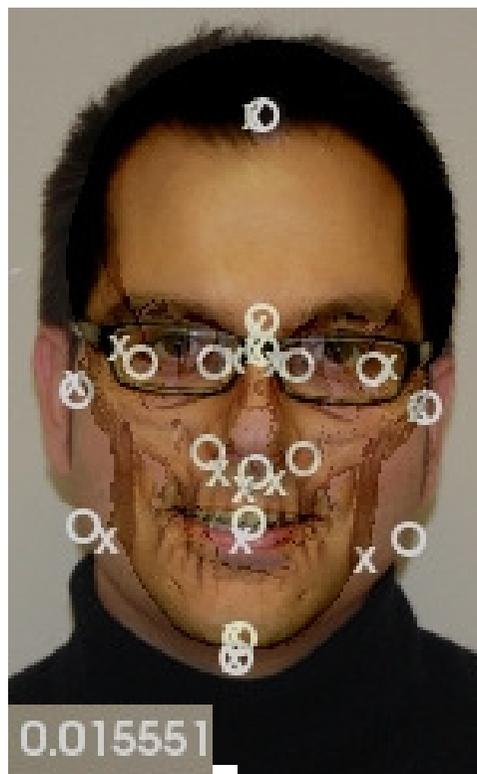
4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

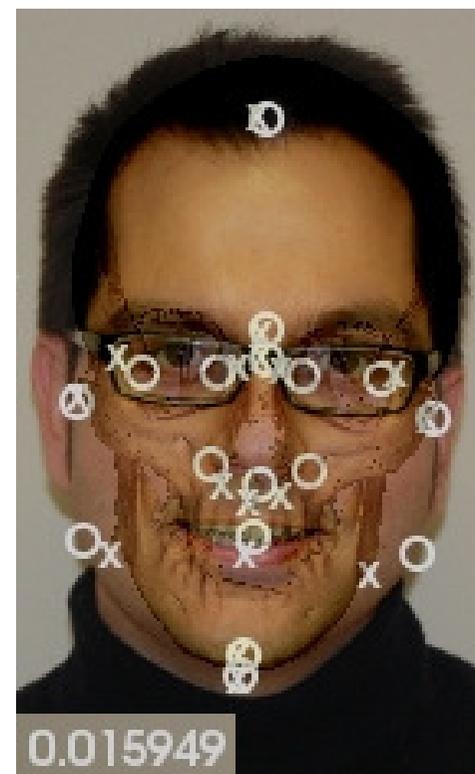
6. Conclusions

- Skull-face overlay is independent of the decision making stage and our method must get the best possible overlay regardless whether the identification is positive or not:

Best overlay (30 runs)



Worst overlay (30 runs)





5. Skull-face overlay using EAs and fuzzy sets

Results: Negative cases (II)

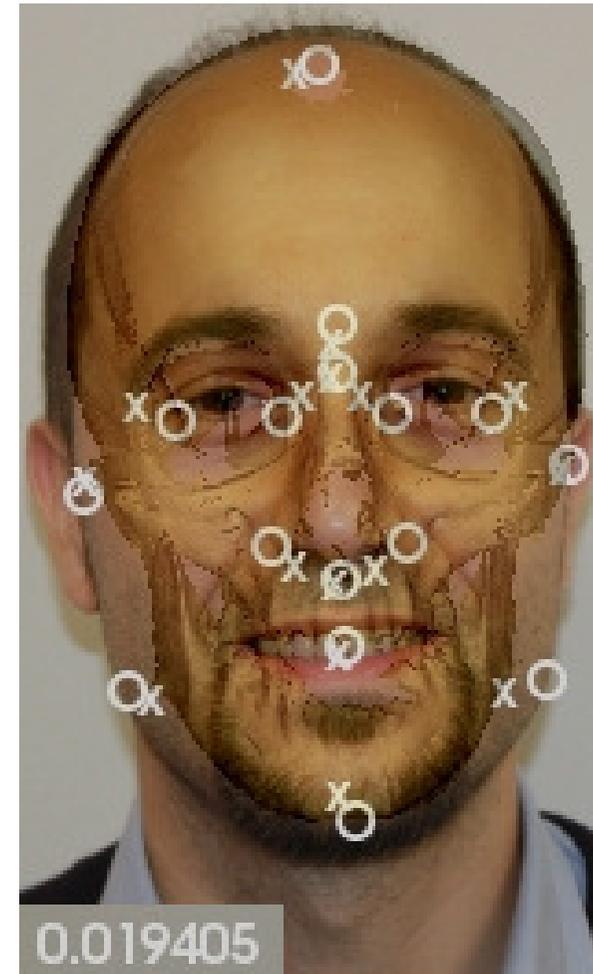
OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
5. **Second stage: Skull-face overlay**
6. Conclusions

Best overlay (30 runs)



Worst overlay (30 runs)





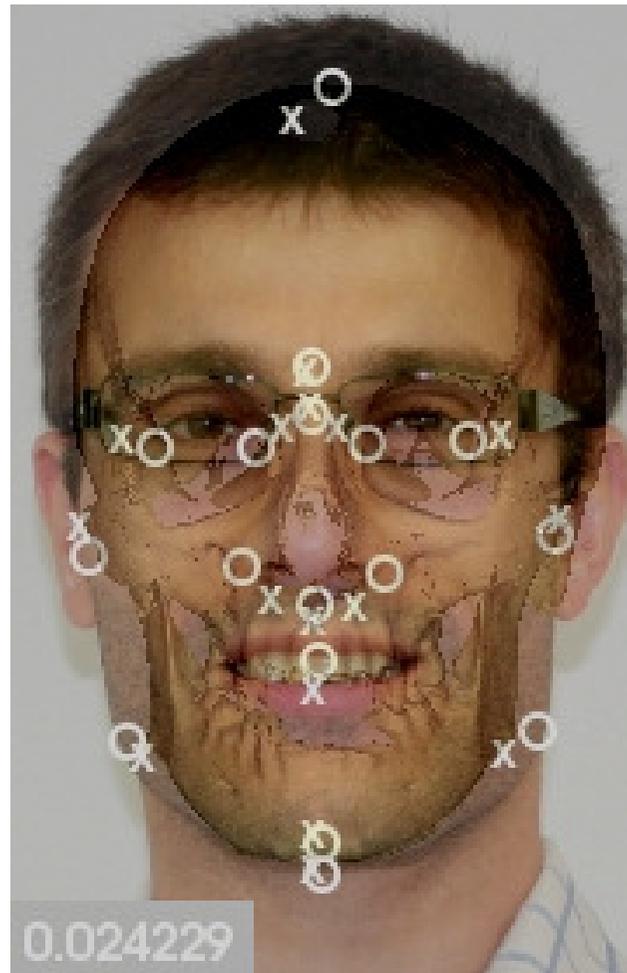
5. Skull-face overlay using EAs and fuzzy sets

Results: Negative cases (III)

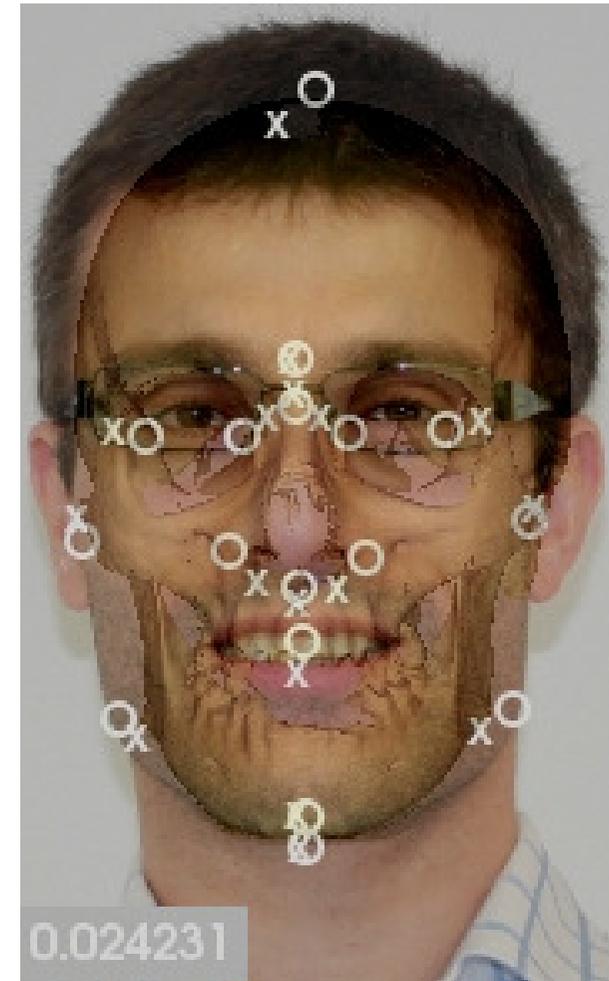
OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
5. **Second stage: Skull-face overlay**
6. Conclusions

Best overlay (30 runs)



Worst overlay (30 runs)





5. Skull-face overlay using EAs and fuzzy sets

Results: Negative cases (IV)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition
2. Image Registration (IR)
3. IR, Uncertainty and FI = Soft Computing
4. First stage: 3D skull model reconstruction
5. **Second stage: Skull-face overlay**
6. Conclusions

Best overlay (30 runs)



Worst overlay (30 runs)





6. Conclusions

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

- We have successfully tackled the automation of the forensic identification by craniofacial superimposition in order to assist the forensic anthropologist
- Soft Computing is suitable for this task given the intrinsic characteristics of this identification technique
- Our method has been used in the **identification of a real-world case for the Spanish Scientific Police (Guardia Civil)**
- A web site has been developed for the project:

www.softcomputing.es/socovifi



6. Conclusions

Future works

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

Improve the automatic soft computing-based SFO method developed to make it more reliable and customizable to different forensic scenarios:

- A web-based poll is being developed with forensic experts to estimate the landmark location variability
- New fuzzy distances will be considered
- The uncertainty in landmark matching will be shortly tackled
- Objective and semi-automatic SFO validation techniques will be developed (based on anthropometric aspects & computer vision)
- We aim to properly model old-fashioned cameras to tackle identification cases related to the **Spain's civil war**



6. Conclusions Obtained results (I)

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

Research Projects:

- Two Spanish Research Plan projects: SOCOVIFI (2006-09) and SIMMRA (2010-12)
- An Andalusian Government Research project (2007-10)
- A recently granted European project: MEPROCS (2012-13). FP7-SEC-2011-1 (Topic SEC-2011.1.4-3 – Advanced forensic framework - Coordination and Support Actions)

Technology Transfer:

- An **international PCT patent** was approved by the European Agency in February, 2011
- It will be **commercialized in Mexico in 2012**



6. Conclusions

Obtained results (II): Software package

OVERVIEW

1. Forensic identification (FI) by craniofacial superimposition

2. Image Registration (IR)

3. IR, Uncertainty and FI = Soft Computing

4. First stage: 3D skull model reconstruction

5. Second stage: Skull-face overlay

6. Conclusions

The screenshot displays the CSI (Craniofacial Superimposition) software interface. At the top, the logo 'CSI CRANEO FACIAL SUPER IMPOSITION' is visible. A navigation bar contains nine numbered steps: 1. SELECCION DE IMAGEN 2D, 2. MARCADO DE PUNTOS (2D), 3. SELECCION DE MODELO 3D, 4. MARCADO DE LANDMARKS (3D), 5. SELECCION DEL ALGORITMO, 6. PARAMETROS DE CONFIGURACION, 7. RESULTADO DE LA SUPERPOSICION, 8. REFINAMIENTO MANUAL, and 9. INFORME DE LA SUPERPOSICION. The current step is 7. The main area shows a 3D skull model overlaid on a face image. The eyes are obscured by a black rectangle. To the right, the 'INFORME FINAL' section shows 'Fiabilidad del resultado: 100%' and two paragraphs of Lorem Ipsum text. Below the text are 'IMPRIMIR' and 'GUARDAR' buttons. At the bottom, there are 'ANTERIOR' and 'FINALIZAR' buttons. The footer includes logos for the European Centre for Soft Computing and treelogic.



6. Conclusions

Obtained results (III)

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PhD Dissertations:

- Dr. José Santamaría. University of Granada. Spain. Dec. 2006
- Dr. Oscar Ibáñez. University of Santiago. Spain. Sept. 2010

International Awards:

- IFSA Award for Outstanding Applications of Fuzzy Technology. 2011
- EUSFLAT Best Ph.D. Thesis Award. 2011. Author: Dr. Oscar Ibáñez. Advisors: Drs. Cordón and Damas



6. Conclusions

Main publications

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- O. Ibáñez, et al. Modeling the skull-face overlay uncertainty using fuzzy sets. *IEEE Transactions on Fuzzy Systems* (2011), to appear. **IF 2010: 2.683. Cat: Eng., Elec. & Electr. Ord: 15/247. Q1.**
- S. Damas, et al. Forensic identification by computer-aided craniofacial superimposition: A survey. *ACM Computing Surveys* (2011), to appear. **IF 2010: 7.806. Cat: CS, Th& Meth. Ord: 1/97. Q1.**
- O. Ibáñez, et al. An experimental study on the applicability of evolutionary algorithms to craniofacial superimposition in forensic identification. *Information Sciences* 179:23 (2009) 3998–4028. **IF: 3.291. Cat: CS, Inf. Syst. Ord: 6/116. Q1.**
- J. Santamaría, et al. Tackling the coplanarity problem in 3D camera calibration by means of fuzzy landmarks: a performance study in forensic craniofacial superimposition. In *IEEE Intl. Conf. Comp. Vis., 3DIM*, 2009, pp. 1686–1693.
- J. Santamaría, et al. Performance Evaluation of Memetic Approaches in 3D Reconstruction of Forensic Objects. *Soft Computing* 13:8-9 (2009) 883-904. **IF: 1.328. Cat: CS, Inf. Syst. Ord: 41/95. Q2.**
- J. Santamaría, et al. A Scatter Search-based Technique for Pair-Wise 3D Image Registration in Forensic Anthropology. *Soft Computing* 11:9 (2007) 819-828. **IF: 0.607. Cat: CS, AI. Ord: 66/93. Q3.**



6. Conclusions

Research team

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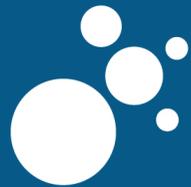
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European Society For Fuzzy Logic and Technology
18 - 22 July 2011 Aix-Les-Bains FRANCE



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Thank you for your attention

Questions ?

