Syllable-Proximity Evaluation in Automatic Speech Recognition using Fuzzy Measures and a Fuzzy Integral

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Abstract-This paper describes a syllable-proximity evaluation task within the context of an automatic speech recognition application. This task is well suited to a multiple-information aggregation framework in which preliminary evaluations of separate information sources are combined to produce a more accurate and reliable overall evaluation than would otherwise be the case. An aggregation operator using fuzzy measures and a fuzzy integral is adopted that possesses a number of desirable properties and the fuzzy-measure parameters can be automatically learned from training data by re-casting the syllable-proximity evaluation as a classification problem. Experiments performed on spontaneous speech material demonstrate that the fuzzy-integration-based aggregation approach has many advantages over alternative techniques in terms of both performance and interpretability of the system.

I. INTRODUCTION

An important class of real-world problems involving multiple information sources can be solved via a two-stage approach, often referred to as multiple-information aggregation. In the first stage, a preliminary evaluation of each information source is obtained separately; in the second stage, the preliminary evaluations are combined to produce a more accurate and reliable evaluation than would otherwise be the case. In this paper, we describe a syllable-proximity evaluation task in the context of an automatic speech recognition application (ASR) that is well suited to a multiple-information aggregation framework.

The syllable-proximity evaluation is one component of a novel multi-tier model of speech recognition [1]. The objective is to compute the degree of proximity between an input syllable and a reference syllable based on partial proximity scores obtained individually along each of several articulatory-feature (AF) dimensions. As described in the following section, multiple-information aggregation tasks, such as syllable-proximity evaluation, require appropriate aggregation operators that take into account not only the relative importance of various information sources but also the synergy and redundancy of information contained in subsets of these sources. It is also desirable that parameters involved with the aggregation operator can be learned automatically from data, particularly when the interaction patterns of various information sources are not completely understood. Furthermore, it would be very useful if the learned parameters can be interpreted to provide new insights into the task domain. For example, for the syllable-proximity evaluation task, it would be very help-

ful if the learned parameters of the aggregation operator could be used to ascertain the relative importance and interaction patterns of various AF dimensions based on a specific set of training data.

In this paper, we use a fuzzy-integration-based aggregation operator [6][8] that possesses the desired properties mentioned above. We also describe how the parameters of the fuzzy integration can be automatically derived from training data by recasting the syllable-proximity evaluation into a classification problem. Using experimental results with real speech material, we show how insights into syllable-proximity evaluation can be gained from principled interpretation of the learned fuzzy measure.

Because of the computation and interpretation requirements, as well as the inherent uncertainty, the general class of multi-criteria decision-making and multi-attribute optimization problems lends itself well to soft-computing-based techniques. Besides the fuzzy-integration-based aggregation described in this paper, many other soft-computing approaches have been successfully applied in decision support systems (e.g., using a combination of fuzzy logic and genetic algorithms [14]).

The remainder of this paper is organized as follows. Section II describes the syllable-proximity evaluation problem within a multiple-information aggregation framework. Section III introduces the fuzzy-integration-based aggregation method. Sections IV and V describe an experiment pertaining to syllable-proximity evaluation using the fuzzy-integration-based aggregation. Section VI provides a brief summary of the conclusions.

II. SYLLABLE- PROXIMITY EVALUATION AND MULTIPLE-INFORMATION AGGREGATION

Conventional models of ASR (at least for English and many other Indo-European languages) assume that words are readily decomposable into constituent phonetic components ("phonemes"). A detailed evaluation of state-of-the-art speech recognition systems indicates that the conventional phonemic "beads-on-a-string" approach is of limited utility, particularly with respect to informal, conversational material [9]. In [1], a syllable-based, multi-tier model of ASR is introduced that explicitly relates articulatory features, syllable structure and stress accent to lexical representation. Articulatory features are the basic building blocks of the phonetic tier of speech; they are used to de-

scribe the abstract configuration of the vocal tract during speaking (e.g., manner and place of articulation, etc). It was shown that the realization of articulatory features is systematically related to syllable position and stress accent level; together, they provide a means to accurately and parsimoniously model pronunciation variation in spontaneous speech. Because the multi-tier model used in the recognition application (cf. Fig. 1) entails many processing steps that lie outside of the scope of the present paper, we focus here on the computation of syllable proximity associated with a pair of input and reference syllable segments (cf. [1] for further details about the multi-tier model).

Between each pair of input and reference syllable segments, a partial syllable-proximity score is separately computed along each of the AF dimensions (i.e., manner of articulation, place of articulation, voicing, lip-rounding, etc.). The computation of each partial syllable-proximity score associated with each AF dimension involves a series of neural-network-based AF classification and segmentation processes, as well as application of probabilistic models pertaining to pronunciation variation (cf. Fig. 1 and [1]). Within the context of the multi-tier model, distributing this computation among various AF dimensions has several distinct advantages relative to conventional approaches, including (1) simplicity and robustness of computation, as well as (2) the capability of accurately encapsulating the sort of pronunciation variation that characterizes spontaneous speech. The partial syllable-proximity scores have to be combined appropriately in order to form a unitary syllable-proximity score capable of being used in the subsequent word-hypothesis evaluation component of the recognition process. Thus, the task is essentially that for each pair of input and reference syllable segments, given a set of partial syllable-proximity scores along N articulatory-feature dimensions, $H = (h(x_1),\cdots,h(x_N))$, we are interested in deriving an appropriate combining operator $f(\ )$ that yields the desired combined score $y = f(H)$. This formulation of the syllable-proximity evaluation can be regarded as an example of a general class of multiple-information aggregation problems.

Multiple-information aggregation usually refers to the combining of results from the processing of multiple information sources to form a single overall end-result. Many important real-world problems can be formulated within such an aggregation framework (e.g., multi-criteria decision-making and multi-attribute classification). A common property of such problems is that a preliminary, partial decision or classification score can be obtained separately for each of the information sources; it is often difficult (or at least undesirable) to model directly the relationship between the raw inputs associated with all of the information sources and the final, combined evaluation result. For example, each partial score may be obtained from an unknown procedure, as would be the case for fusion of multiple subjective evaluations. This procedure would also allow each partial score to be determined in a manner that is both simpler and more robust than could be obtained through direct computation of the final score.

There exist a variety of aggregation operators that can be used for $f(\ )$, such as the arithmetic or geometric mean, linearly weighted average, ordered weighted average, neural networks, and fuzzy-logic-based systems [18]. Each operator possesses certain distinctive properties; selecting an appropriate aggregation operator depends crucially on the characteristics of the particular application. With respect to the syllable-proximity evaluation, different AF dimensions contribute in varying measure to the computation of syllable proximity and therefore should be assigned different weights in the combining process. Moreover, the partial scores associated with various AF dimensions are not orthogonal; there is a significant degree of coupling among them. Thus, a simple linear combination of the partial scores is incapable of capturing the redundancy and synergy of the information associated with various subsets of AF dimensions; a highly non-linear process is required in its place. Because the relationship among the partial scores associated with different AF dimensions can be quite complex, the aggregation operator should be flexible in its ability to extract information from heterogeneous sources without prior specification of their inter-relationship. On the other hand, good interpretability of the aggregation method is desired, especially for diagnostic experiments in which we would like to ascertain the relative importance and interaction of various AF dimensions to the combined decision. Interpretability of parameters
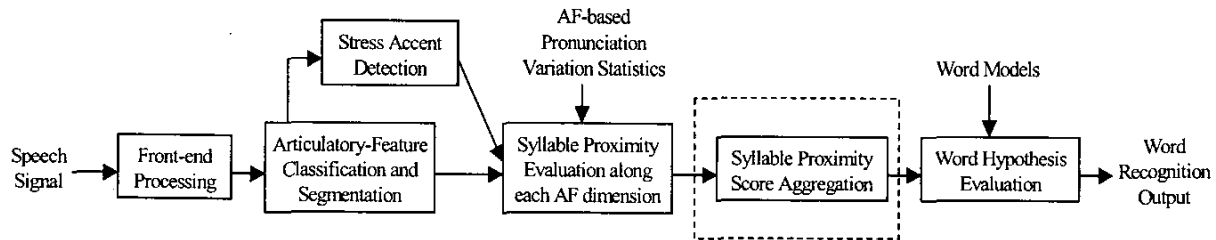

Fig. 1. Major components of the syllable-based, multi-tier model of automatic speech recognition [1]. The present paper focuses on the step enclosed in the dashed box.

would also be of help in the selection of the most appropriate AF dimensions to use. As described in the following section, a fuzzy-integration-based aggregation operator possesses a number of properties ideally suited to this task.

III. FUZZY INTEGRATION AS AN AGGREGATION OPERATOR

Over the past several years, a fuzzy-integration-based approach has become increasingly popular for multiple-information aggregation [11]. There have been a number of successful applications of this approach in multi-criteria decision-making (e.g., [8][12]), multi-attribute classification and pattern recognition (e.g., [6][7][10][15]). This approach combines decision or classification scores from multiple information sources into a single composite score by applying a fuzzy integral with respect to a designated fuzzy measure, representing differential weighting of scores derived from a variety of information sources. In this section we briefly review the concepts of fuzzy measure and fuzzy integral, and then discuss the advantages of the approach for multiple-information aggregation with specific application to syllable-proximity-score aggregation.

The concept of fuzzy measure was originally introduced by Sugeno [17] in the early 1970's in order to extend the classical (probability) measure through relaxation of the additivity property. A formal definition of the fuzzy measure is as follows:

Definition 1. Fuzzy measure: Let X be a non-empty finite set and Ω a Boolean algebra (i.e. a family of subsets of X closed under union and complementation, including the empty set) defined on X. A fuzzy measure, g, is a set function $g:\Omega \rightarrow [0,1]$ defined on Ω, which satisfies the following properties: (1) Boundary conditions: $g(\phi)=0$, $g(X)=1$. (2) Monotonicity: If $A \subseteq B$, then $g(A) \le g(B)$. (3) Continuity: If $F_n \in \Omega$ for $1 \le n < \infty$ and the sequence $\{F_n\}$ is monotonic (in the sense of inclusion), then $lim_{n \rightarrow \infty} g(F_n) = g(lim_{n \rightarrow \infty} F_n)$. And (X, Ω, g) is said to be a fuzzy measure space.

This definition of a fuzzy measure differs from that of a probability measure only in terms of the monotonicity property. Because additivity is a special case of monotonicity, the probability measure is, in effect, a special case of a fuzzy measure.

For the syllable-proximity evaluation let $X = \{x_1, \cdots, x_N\}$ represent the set of N articulatory feature dimensions under consideration and g, a fuzzy measure, represent the contribution of each subset of X (i.e. a set of some AF dimensions, including singleton sets) in evaluating the proximity between a reference syllable and the input. In many situations it is useful to ascertain the contribution of a particular AF dimension in the entire evaluation process. However, since each AF dimension is included in many subsets of X, the contribution of a particular AF dimension cannot be easily discerned from the fuzzy measure. A concept from cooperative game theory, the Shapley score [16][6], can be applied within this context to help in the interpretation.

Definition 2. Shapley score: Let g be a fuzzy measure on X. The Shapley score for every $x_i \in X$ is defined by

$$v_i \equiv \sum_{K \subset X \setminus \{x_i\}} \frac{(|X| - |K| - 1)!|K|!}{|X|!} [g(K \cup \{i\}) - g(K)]$$

where $|X|$ and $|K|$ are the cardinality of X and K, respectively.

A Shapley score, v_i, can be interpreted as an average value of the contribution that an information source, x_i, alone, provides for all different combinations of information sources and it can be verified that Shapley scores sum (by necessity) to one. This concept has also been extended to computing the interaction of a pair of information sources [13], as well as interaction of any subset of X [5].

Definition 3. Two-way interaction index: Let g be a fuzzy measure on X. The two-way interaction index of elements $x_i, x_j \in X$ is defined by

$$I_{ij} \equiv \sum_{K \subset X \setminus \{x_i, x_j\}} \frac{(|X| - |K| - 2)!|K|!}{(|X|-1)} [g(K \cup \{x_i, x_j\})$$
$$-g(K \cup \{x_j\}) - g(K \cup \{x_i\}) + g(K)]$$

where $|X|$ and $|K|$ are the cardinality of X and K, respectively.

The interaction index, I_{ij}, provides an indication of the interaction between the pair of information sources x_i and x_j. When $I_{ij} < 0$, there exists a negative interaction (redundancy) between information sources, x_i and x_j, in that the value of the pair x_i and x_j is less than the sum of the values of x_i alone and x_j alone when they are included into sets of information sources. On the other hand, if $I_{ij} > 0$, there exists a positive interaction (synergy) between x_i and x_j. In cases where $I_{ij} = 0$, there is no interaction between the pair.

To combine scores obtained from various information sources with respect to a specific fuzzy measure a technique based on the concept of the fuzzy integral can be applied. There are actually several forms of fuzzy integral [6]; the one adopted here is the Choquet integral proposed by Murofushi and Sugeno [11].

Definition 4 (Choquet) Fuzzy integral: Let (X, Ω, g) be a fuzzy measure space, with $X = \{x_1, \cdots, x_N\}$. Let $h : X \rightarrow [0,1]$ be a measurable function. Assume without

loss of generality that $0 \le h(x_1) \le \cdots \le h(x_N) \le 1$, and $A_i = \{x_i, x_{i+1}, \cdots, x_N\}$. The Choquet integral of h with respect to the fuzzy measure g is defined by:

$$\int h \circ g = \sum_{i=1}^{N} [h(x_i) - h(x_{i-1})]g(A_i) \text{ where } h(x_0) = 0.$$

An interesting property of the (Choquet) fuzzy integral is that if g is a probability measure, the fuzzy integral is equivalent to the classical Lebesgue integral and simply computes the expectation of h with respect to g in the usual probability framework. The fuzzy integral is a form of averaging operator in the sense that the value of a fuzzy integral is between the minimum and maximum values of the h function to be integrated. A number of commonly used aggregation operators are special cases of the fuzzy integral [7][8], e.g., the min and max operators, the weighted sum and the ordered weighted average. A distinct advantage of the fuzzy integral as a weighted operator is that, using an appropriate fuzzy measure, the weights represent not only the importance of individual information sources but also the interactions (redundancy and synergy) among any subset of the sources.

The review of fuzzy measure and fuzzy integral above describes how they can be used to combine scores associated with various AF dimensions into an aggregated proximity score. The intuitive interpretation of a fuzzy measure allows for the specification of the fuzzy measure based on expert knowledge. However, in practice, it is more useful to be able to learn the fuzzy measure directly from data and to deduce the contribution patterns automatically. In our application, during the training phase, the system has knowledge of which reference syllable in a pool of reference syllables best matches the input syllable. We may then recast the syllable-proximity evaluation into a classification problem and try to learn the fuzzy measure automatically from the data. That is, for each input syllable, we would like to classify it as belonging to the appropriate class by selecting the reference syllable with the highest fuzzy integral score. Intuitively, the fuzzy-measure parameters that perform well on the classification problem are very likely to provide the desired solution for syllable-proximity evaluation. A discriminative, gradient-based algorithm was developed for learning appropriate fuzzy measures for the classification problem, based on a similar learning scheme developed by Grabisch and Nicholas [7][4]. Details of the learning algorithm and its derivations are described in [1].

IV. EXPERIMENTS

Experiments have been carried out on speech material from the OGI Numbers95 corpus [2] consisting of digits and numbers extracted from spontaneous American English telephone interactions. Due to the limited scope of this paper, we limit the description here to the training and testing experiments for syllable-proximity score aggrega-

tion. The other components of the recognition system are described in detail in [1]. The training data consisted of 3,233 utterances (12,510 words, 15,306 syllables) from a training set and 357 utterances (1,349 words, 1,650 syllables) from a separate cross-validation set. Testing was performed on 1,206 utterances (4,669 words, 5,878 syllables). In these experiments, we considered seven separate AF dimensions: manner of articulation, place of articulation, voicing, vocalic height, lip-rounding, spectral dynamics (to distinguish between monophthongs and diphthongs), and vocalic tenseness (most closely related to the intrinsic length of a vocalic segment). For each input syllable, there were 25 reference syllables to be evaluated for degrees of proximity. As described in the previous section, we recast the training of the aggregation operator into a classification problem. That is, based on the degrees of proximity supplied by the seven AF dimensions, we sought to classify each input syllable as one of 25 reference syllable classes. A fuzzy-integration-based aggregation operator was trained using the gradient-based method. For comparison, we also performed the same classification experiments using several other aggregation operators: (1) "MAX" – the maximum operator; (2) "MIN" – the minimum; (3) "MEAN" – the arithmetic mean; (4) "WT-AVG" – linearly weighted average; (5) "MLP" – multi-layer-perceptron neural network.

V. RESULTS AND DISCUSSION

Performance of the aggregation methods is shown in Table 1 for the syllable-classification task. The results are presented in terms of the percentage of correctly classified syllables. The weights for the linearly weighted average (WT-AVG.) were trained using a ridge regression technique. The MLP contained a single hidden layer of 100 units and was trained using back-propagation. The results show that by taking into account the interaction among subsets of information sources, the fuzzy-integration-based technique amply outperforms simpler methods such as the arithmetic mean and linearly weighted average. On the other hand, the fuzzy-integration-based technique performed at a level close to the MLP-based classifier, which is quite powerful in capturing complicated non-linear relationships among various inputs.

However, there is a singular advantage in using the fuzzy-integration-based method rather than MLPs, namely the interpretability of learned parameters. In many practical applications, it is quite useful to understand the significance of learned parameters associated with an aggregation operator. For example, knowing how each information source contributes to the combined result can facilitate feature selection in a principled fashion and helps in de-

Table 1. Syllable classification accuracy for several aggregation methods.

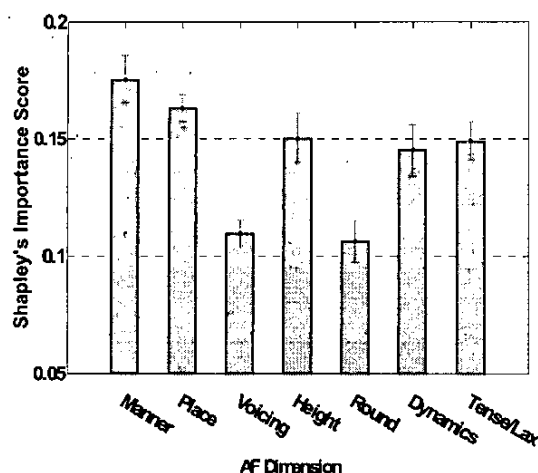| Method	MAX	MIN	MEAN	WT-AVG	MLP	FUZZY INT.
Accuracy%	33.21	74.77	83.70	84.59	90.56	90.17

Fig. 2. Shapley scores of seven AF dimensions derived from the trained fuzzy measure for syllable-proximity score aggregation. Error-bars indicate the range of ±1 standard deviation. Note that the Shapley scores sum to one.
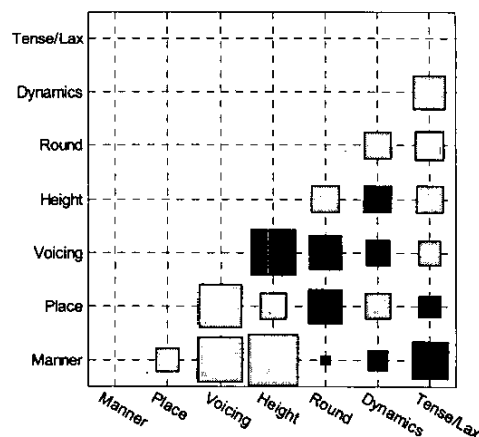

Fig. 3. Two-way interaction indices between pairs of AF dimensions derived from the trained fuzzy measures for syllable-proximity score aggregation. Sizes of the squares below the minor diagonal indicate the magnitude and are normalized to the size of the grid. Dark-colored squares indicate a negative interaction and light-colored squares signify a positive interaction between a pair of AF dimensions.

termining the required precision of input features. And in many cases the ability to interpret learned parameters generates new insights into the task domain, such as structural patterns of information sources and heuristic rules governing score combination. Such insights can be very helpful in assessing the reliability of a classification system and adapting it to new situations. For the current task it is difficult to interpret the significance of parameters associated with the MLP classifier despite having provided good classification performance. On the other hand, the learned parameters of the fuzzy-integration-based aggregation operator can be easily interpreted, particularly with the aid of the Shapley score and interaction indices. Fig. 2 shows the mean Shapley scores derived from learned fuzzy measures (averaged over 15 random trials, along with the range of ±1 standard deviation). It can be observed that both manner and place of articulation have above-average Shapley scores, while lip-rounding and voicing have far-below-average Shapley scores. This pattern suggests that both manner and place dimensions contribute significantly to the aggregated result, while lip-rounding and voicing dimensions contribute relatively little. This result is consistent with intuition derived from phonetic and linguistic knowledge, as the manner and place dimensions are expected a priori to provide the greatest amount of information pertaining to lexical discrimination.

In order to gain further insights into the interaction patterns associated with various AF dimensions in the recognition process the interaction indices (cf. Section III) were computed for pairs of AF dimensions from the trained fuzzy measures, as illustrated in Fig. 3. For each pair of AF dimensions, the size of each square (below the minor diagonal) corresponds to the interaction index between the two AF dimensions indicated by the labels on the horizontal and vertical axes. A light-colored square indicates positive interaction (i.e., synergy) while dark-colored squares re-

flect negative interaction (i.e., redundancy). For example, the large positive interaction between voicing and manner suggests these two dimensions considered together contribute more to recognition than when considered separately. In tandem with the Shapley scores, the interaction indices can be used to estimate the utility associated with each AF dimension. For example, the "lip-rounding" dimension has a relatively small Shapley score and mostly small or negative interactions with other AF dimensions, and thus may be removed from consideration without great impact on classification performance. On the other hand, although the voicing dimension has a low Shapley score by itself, it may still be of significant utility because of the large positive interaction between voicing and manner (as well as place of articulation).

It should be noted that the fuzzy measure was learned using only the set of training data from the Numbers95 corpus (cf. Section IV). Values of the learned parameters reflect a significant number of idiosyncratic characteristics of the corpus as well as procedures used for obtaining preliminary syllable-proximity evaluation along individual AF dimensions. Thus, all of the importance and interaction patterns associated with the fuzzy-measure interpretation need not necessarily agree with prior linguistic knowledge in general. This property can be very valuable for understanding the characteristics of particular tasks, especially those that lack prior expert knowledge. It can also be very helpful in understanding the similarities and differences of various task domains.

In short, the insights that we have gained from the interpretation of learned fuzzy measures agree with our intuition that different AF dimensions assume different levels of importance with respect to speech recognition. Moreover, there is a significant amount of redundant or synergetic information among different sets of AF dimensions. Un-

derstanding the nature of such interaction patterns may prove very useful in developing appropriate models of speech recognition and for improving ASR system performance. The fuzzy-integration-based aggregation framework should also be helpful in many other applications that require effective and transparent combining of heterogeneous information sources. It would also be interesting to explore other approaches to learn or refine fuzzy measures such as using a genetic-algorithm or simulated-annealing-based global search [3][14].

VI. CONCLUSION

This paper has described a syllable-proximity evaluation task in the context of an automatic speech recognition application. We showed how this task fits well into a multiple-information aggregation framework. An aggregation operator using fuzzy measures and a fuzzy integral was described, which possesses a number of desired properties such as the ability to capture the importance, synergy and redundancy of various information sources. Fuzzy-measure parameters involved in the aggregation operator can be automatically learned from training data by recasting the syllable-proximity evaluation as a classification problem. Experiments using spontaneous speech material have shown that fuzzy measures and a fuzzy integral possess many advantages relative to other techniques for aggregating partial results from multiple information sources, in terms of both performance and interpretability. The interpretation of the learned fuzzy measure provides new insights into the interaction patterns among various articulatory-feature dimensions for the syllable-proximity evaluation.

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