Approximation of Sigma–Pi Neural Networks

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Abstract—A feedforward Sigma–Pi neural networks with a single hidden layer of m neural is given by

$$\sum_{j=1}^{m} c_j g \left( \prod_{k=1}^{n} \frac{x_k - \theta_k^j}{\lambda_k^j} \right)$$

where $c_j, \theta_k^j, \lambda_k \in \mathbb{R}$. In this paper, we investigate the approximation of arbitrary functions $f: \mathbb{R}^n \rightarrow \mathbb{R}$ by a Sigma–Pi neural networks in the $L^p$ norm. For an $L^p$ locally integrable function $g(t)$ can approximation any given function, if and only if $g(t)$ can not be written in the form $\sum_{j=1}^{m} \sum_{k=0}^{m} a_{jk} (\ln |t|)^{j-1} t^k$.

Index Terms—$L^p$ approximation, neural network, Sigma–Pi function.

I. INTRODUCTION

One of the most interesting questions connection neural networks is the approximation capability of neural networks. There have been many papers related to this topic. For the reference one can read [1]–[13] and the references given there. In this paper, the $p$-approximation capability of Sigma–Pi neural networks is investigated. In mathematical terminology, it is to find some conditions such that under which all the linear combinations of elements $g \left( \prod_{k=1}^{n} \frac{x_k - \theta_k^j}{\lambda_k^j} \right)$ are dense in $L^p(K)$ for any compact set $K$ in $\mathbb{R}^n$, where $x = (x_1, x_2, \ldots, x_n)$ is a variant, $\theta_k \in \mathbb{R}$ are constants. Let $g(t)$ be a function on $\mathbb{R}$, $g(t)$ is said to be a SP-function if all functions of the following form:

$$\sum_{j=1}^{m} c_j g \left( \prod_{k=1}^{n} \frac{x_k - \theta_k^j}{\lambda_k^j} \right), \quad m = 1, 2, \ldots$$

are dense in $C(K)$ for any compact set $K$ in $\mathbb{R}^n$. Then $g(t)$ is said to be an $L^p$SP-function if all functions of the following form:

$$\sum_{k=1}^{m} c_j g(\lambda_k \cdot x - \theta_k^j), \quad \lambda_k \in \mathbb{R}^n, \theta_k \in \mathbb{R}$$

are dense in $C(K)$ for any compact set $K$ in $\mathbb{R}^n$. Then $g(t)$ is said to be an $L^p$TW-function if all functions of the form (2) are dense in $L^p(K)$ for any compact set $K$ in $\mathbb{R}^n$.

It has been proved in [9] and [10] that if $g(t)$ is continuous on $\mathbb{R}$ then $g(t)$ is a SP-function if and only if $g(t)$ can not be written in the following form:

$$a_0 + \sum_{j=1}^{n} \left( \ln |t| \right)^{j-1} \sum_{k=1}^{m} a_{jk} t^k.$$

Recently, the present authors in [15] have proved that the previous result still holds under the condition that $g(t)$ is locally Riemman integrable, i.e., if $g(t)$ is locally Riemman integrable on $\mathbb{R}$ then $g(t)$ is an SP-function if and only if $g(t)$ can not be written in the form (3). Therefore, if the previous conditions are satisfied, then $g(t)$ is an $L^p$SP-function. It is well known that an $L^p$ locally integrable function on $\mathbb{R}$ may neither be continuous, nor be locally Riemman integrable. It is natural to ask what is that characteristic condition for an $L^p$ locally integrable function to be an $L^p$SP-function. The purpose of this paper is to answer this question and give a necessary and sufficient condition to solve the problem. The remainder of this paper is organized as follows. Our main results are presented in Section II. In order to prove the main results, several lemmas are given in Section III. Finally, the proof of the main results is presented in Section IV.

II. MAIN RESULTS

The main results in this paper are as follows.

Theorem 1: Let $g(t)$ be $L^p$ locally integrable on $\mathbb{R}$ with $1 \leq p < \infty$. Then $g(t)$ is an $L^p$SP-function if and only if $g(t)$ can not be written in the following form on $\mathbb{R}$ (a.e):

$$a_0 + \sum_{j=1}^{n} \sum_{k=0}^{m} (\ln |t|)^{j-1} a_{jk} t^k$$

where $a_{jk}$ are constants.

The following Corollary 2 is an immediate consequence of Theorem 1.

Corollary 2: Let $g(t)$ be $L^p$ locally integrable on $\mathbb{R}$ with $1 \leq p < \infty$. Then $g(t)$ is an $L^p$TW-function if and only if $g(t)$ is not a polynomial on $\mathbb{R}$ (a.e).

Remark 3: Hornik in [4] and M. Leshno et al. in [3] proved that $g(t)$ is an $L^p$TW-function if $g(t)$ is essentially locally bounded and nonpolynomial on $\mathbb{R}$. Cheng in [12] proved that $g(t)$ is an $L^p$TW-function if $g(t)$ is locally integrable and belongs to $\mathcal{S}(\mathbb{R})$ (i.e., the integral $\int_{\mathbb{R}} f(t)g(t) dt$ is existent for any rapidly decreasing infinitely differentiable function $f(t)$ on $\mathbb{R}$). Corollary 2 is a generalization of these results.

III. SOME NOTATIONS AND LEMMAS

For $\lambda = (\lambda_1, \lambda_2, \ldots, \lambda_n)$, $x = (x_1, x_2, \ldots, x_n) \in \mathbb{R}^n$, an $n$-multi-index $\alpha$, i.e., $\alpha \in \mathbb{N}^n$ and each coordinate of $\alpha$ is a nonnegative integer, let $x^\alpha = x_1^{\alpha_1} x_2^{\alpha_2} \cdots x_n^{\alpha_n}$,

$$\lambda x = (\lambda_1 x_1, \lambda_2 x_2, \ldots, \lambda_n x_n), \quad |\alpha| = \sum_{k=1}^{n} \alpha_k, \quad \lambda^{-1} = (\lambda_1^{-1}, \lambda_2^{-1}, \ldots, \lambda_n^{-1})$$

if each $\lambda_k \neq 0$, and $\pi(\cdot)$ be a function on $K \subset \mathbb{R}^n$ defined by

$$\pi(x) = \prod_{k=1}^{n} x_k, \quad \forall x = (x_1, x_2, \ldots, x_n) \in K.$$
For a function $g$ on $\mathbb{R}$ and a function $f$ on $\mathbb{R}^n$, let $\text{supp} f$ be the support of $f$, i.e., $\text{supp} f = \{x \in \mathbb{R}^n | f(x) \neq 0\}$. Let
\[
\Sigma_0(f, K) = \left\{ \sum_{k=1}^{m} c_k \left( y_k \prod_{k=1}^{n} (x_k - \theta_k) \right) | y_k, c_k, \lambda_k \in \mathbb{R}, 1 \leq k \leq n, m \geq 1 \right\}
\]
(6)
\[
\Sigma_0(f, K) = \left\{ \sum_{k=1}^{m} c_k f(\lambda_k^{-1} x + \theta_k) | \lambda_k \in \mathbb{R}^n, c_k \in \mathbb{R}, 1 \leq k \leq n, m \geq 1 \right\}
\]
(7)
where $x = (x_1, x_2, \ldots, x_n) \in K$ is a variant. And let $\hat{g}$ be defined by
\[
\hat{g}: \hat{g}(x) = g(\pi(x)), \quad \forall x \in \mathbb{R}^n.
\]
(8)
For a function $\phi$ on $\mathbb{R}$ and a function $\psi$ on $\mathbb{R}^n$, $g \circ \phi$ and $f \ast \psi$ are defined by
\[
g \circ \phi(t) = \int_{\mathbb{R}} g(ts) \phi(s) \, ds, \quad \forall t \in \mathbb{R}
\]
and
\[
f \ast \psi(x) = \int_{\mathbb{R}^n} f(x - y) \psi(y) \, dy, \quad \forall x \in \mathbb{R}^n
\]
(9)
respectively. Let $C^\infty(\mathbb{R}^n)$ be the set consisting of all infinitely continuously differentiable functions on $\mathbb{R}^n$. For a set $A$ in $\mathbb{R}^n$, let
\[
C^\infty(A) = \{ f | f \in C^\infty(\mathbb{R}^n), \text{supp} f \subset A \},
\]
\[
C_0(A) = \{ f | f \in C(\mathbb{R}^n), \text{supp} f \text{ is compact and } f \subset A \},
\]
\[
C_0^\infty(A) = \{ f | f \in C(\mathbb{R}^n), \text{supp} f \text{ is compact and } f \subset A \text{ and } D^\beta f \in C(\mathbb{R}^n), \forall \beta \leq \alpha \},
\]
\[
C_0^\infty(A) = \{ f | f \in C^\infty(\mathbb{R}^n), \text{supp} f \subset A \},
\]
\[
L^p_{\text{loc}}(\mathbb{R}^n) = \{ f | f \text{ is } L^p \text{ locally integrable on } \mathbb{R}^n \}.
\]
If $f$ is $\alpha$-times differential at $x$, $D^\alpha f(x)$ is the partial derivative of $f(x)$ at $x$, i.e.,
\[
D^\alpha f(x) = \frac{\partial |\alpha| f(x)}{\partial x_1^{\alpha_1} \partial x_2^{\alpha_2} \ldots \partial x_n^{\alpha_n}},
\]
If $f$ is locally integrable on $\mathbb{R}^n$, then $D^\alpha f(x)$ is the general derivative, i.e., $D^\alpha f$ is a linear functional on $C_0^\infty(\mathbb{R}^n)$ defined by
\[
D^\alpha f(\phi) = (-1)^{|\alpha|} \int_{\mathbb{R}^n} f(x) D^\alpha \phi(x) \, dx, \quad \forall \phi \in C_0^\infty(\mathbb{R}^n).
\]
In order to prove Theorem 1, we need the following Lemmas. Lemma 3–Lemma 6 can be proved by the argument used in [3], [4], [9], [10], [15]. Lemma 1 is Corollary 4 in [9], Lemma 2 is Theorem 10 in [9] (or Corollary in [10]).

\[\text{Lemma 1 [9]: Let } K \text{ be a compact set in } \mathbb{R}^n \text{ and } f \in C(\mathbb{R}^n). \text{ If } D^\alpha f \neq 0, \text{ then } x^\alpha \text{ belongs to the closure of } \Sigma_0(f, K) \text{ in } C(K).\]

\[\text{Lemma 2 [9], [10]: Let } g(t) \in C(\mathbb{R}). \text{ Then the following statements are equivalent:}\]
\[1) \text{ the closure of } \Sigma(g, K) \text{ in } C(K) \text{ is equal to } C(K) \text{ for any compact set } K \text{ in } \mathbb{R}^n;\]
\[2) \text{ g cannot be written in the form (3).}\]

\[\text{Lemma 3: Suppose that } g(t) \in C^\infty(\mathbb{R} \setminus \{0\}) \text{ and } 0 \notin (a, b). \text{ Then } g(t) \text{ can not be written in the form (4) on the interval } (a, b), \text{ and if only if for any } n\text{-multiindex } \alpha \text{ there exists an } x_\alpha \in \mathbb{R}^n \text{ such that}\]
\[
D^\alpha g(x_\alpha) \neq 0, \quad \pi(x_\alpha) \in (a, b).
\]
(10)
\[\text{Proof: Necessity. Otherwise, there exists an } n\text{-multiindex } \alpha \text{ such that}\]
\[
D^\alpha g(x) = 0, \quad \forall \pi(x) \in (a, b)
\]
(11)
which implies that
\[
D^{(m+1)} \pi_j(x) = 0, \quad \forall \pi(x) \in (a, b)
\]
(12)
for any integer $m$ with $(m+1)e \geq \alpha$, where $e = (1, 1, \ldots, 1)$. By some computation, we see that (12) is equivalent to
\[
\sum_{j=1}^{(n-1)(m+1)+1} j q_j(\pi(x))^{j-1} g^{(m+j)}(\pi(x)) = 0 \quad \forall \pi(x) \in (a, b).
\]
(13)
where $q_j$'s are constants depending on $m$. In other words, $g(t)$ is a solution of the following differential equation of order $n(m+1)$:
\[
\sum_{j=1}^{(n-1)(m+1)+1} j q_j(\pi(x))^{j-1} g^{(m+j)}(\pi(x)) = 0, \quad \forall \pi(x) \in (a, b).
\]
(14)
It is easy to verify that $D^{(m+1)} \pi_j(\pi(x))^{j-1} (\pi(x))^k = 0$ for any $j, k$ with $1 \leq j \leq n$ and $0 \leq k \leq m$, which implies that $t^j \ln^k |t| \neq 0$ for $0 \leq j \leq n-1$ and $0 \leq k \leq m$ are solutions of (14). Thus any solution of (14) may be written in the form (4) because $t^j \ln^k |t|$ are solutions of (14). This completes the proof of the necessity.

Sufficiency. It is easy to see that $g(t)$ satisfies (12) for any integer $m$ with $(m+1)e \geq \alpha$, which completes the proof of sufficiency.}

\[\text{Lemma 4: Let } g(t) \in L^p_{\text{loc}}, 0 \notin (a, b) \text{ and } 0 < \delta < 1. \text{ If } g(t) \text{ can not be written in the form (4) on } (a, b), \text{ then there exists a } \phi_0 \in C_0^\infty([1-\delta, 1+\delta]) \text{ such that } (g \circ \phi_0)(t) \text{ can not be written in the form (4) on } (a, b).\]
\[\text{Proof: Otherwise, } (g \circ \phi)(t) \text{ may be written in the form (4) on } (a, b) \text{ for any } \phi \in C_0^\infty([1-\delta, 1+\delta]). \text{ Since } g \circ \phi \in C^\infty(\mathbb{R} \setminus \{0\}), \text{ applying Lemma 1 to } g \circ \phi_0, \text{ we see that for any } \phi \in C_0^\infty([1-\delta, 1+\delta]), \text{ there exists an } n\text{-multiindex } \alpha \phi \text{ such that}\]
\[
D^\alpha g \circ \phi_0(x) = 0, \quad x \in \mathbb{R}^n, \pi(x_\alpha) \in (a, b).
\]
which implies that
\[
C_0^\infty([1 - \delta, 1 + \delta]) = \bigcup_{\alpha} W_\alpha
\]  
(15)

where \( W_\alpha = \{ \phi \in C_0^\infty([1 - \delta, 1 + \delta]), D^\alpha g \phi(x) = 0, \forall x \in \mathbb{R}^n, \pi(x) \in (a, b) \} \). Since \( C_0^\infty([1 - \delta, 1 + \delta]) \) is a Frechét space (see, e.g., \([14, \text{example 1.46}]\)), it follows from (15) and the Bair's category theory that there exists at least a \( W_{m_0} \) such that \( W_{m_0} \) contains a nonempty open set, which implies that \( W_{m_0} = C_0^\infty([1 - \delta, 1 + \delta]) \). Letting \( m = m_0 \) be an integer with \( mc \geq \alpha_0 \) and applying Lemma 3 to each element in \( W_{m_0} \), we see that \( g \circ \phi \) can be written in the form (4) with \( m = m_0 \) on \((a, b)\) for any \( \phi \in C_0([1 - \delta, 1 + \delta]) \).

Take \( \phi_n \in C_0^\infty([1 - \delta, 1 + \delta]) \) such that \( \{ g \circ \phi_n \} \) converges to \( g \) in \( L^p(a, b) \). Such a sequence of functions is clearly existent. Let \( X_0 \) be the subspace spanned by \( \{ \phi_k \ \text{for} \ 0 \leq k \leq m \} \) and \( \{ \phi_k \} \) converges to \( g \). Such a sequence of functions is also existent. It is obvious that \( X_0 \) is of finite dimension, hence \( X_0 \) is closed. According to the previous conclusions proved, \( g \circ \phi_n \) belongs to the subspace \( X_0 \). Since \( \| g \circ \phi_n - g \|_p \to o(n \to \infty) \), the closeness of \( X_0 \) implies that \( g \circ \phi_n \) can be written in the form (4) on \((a, b)\), which is a contradiction! The proof is then complete.

**Lemma 5:**

1) Let \( g \in L^p_{\text{loc}}(\mathbb{R}), \phi \in C_0((0, 2)) \), \( K \) be a compact set in \( \mathbb{R}^n \) and \( \pi(x) \neq 0, \forall x \in K \). Then \( g \circ \phi \in \overline{\Sigma(g, K)} \) the closure of \( \Sigma(g, K) \) in \( L^p(K) \);  
2) Let \( f \in L^p_{\text{loc}}(\mathbb{R}), \phi \in C_0(\mathbb{R}^n) \) and \( K \) be a compact set in \( \mathbb{R}^n \). Then \( f \circ \phi \in \overline{\Sigma(f, K)} \) the closure of \( \Sigma(f, K) \) in \( L^p(K) \).

**Proof (1):** From the assumption, we may assume that \( \supp \phi \subseteq [\delta_0, 2 - \delta_0] \) with \( \delta \in (0, 1) \). From the integrability of \( \tilde{g} \), we have
\[
\lim_{\delta \to 0} \sup_{\|\xi\|_2 = \delta} \int_K |g(\pi(x)s) - g(\pi(x)s')|^p dx = 0, \quad \text{(16)}
\]

Let \( M = \max \{|\phi(x)|\} \). For any positive integer \( m \), let \( \Delta_m \): \( \delta_0 = \alpha_0 \) \( < \alpha_1, m < \cdots < \alpha_{m+1} \), \( 2 = \delta_0 \) be a partition of \([\delta, 2 - \delta_0]\) with \( |\Delta_m| = \max \{|\alpha_k - \alpha_{k-1}, m| < 1/m \} \). It is clear that \( \sum_{k=1}^{m} \int_{\alpha_{k-1}, m}^{\alpha_k, m} g(\pi(x)q_k) \phi(s) ds \) belongs to \( \Sigma(g, K) \). Thus, it follows that \( g \circ \phi \in \overline{\Sigma(g, K)} \) from (16) and the following inequality:
\[
\left( \int_K \int_{\delta_0}^{2 - \delta_0} g(\pi(x)s) \phi(s) ds \int_{\alpha_{k-1}, m}^{\alpha_k, m} g(\pi(x)q_k) |\phi(s)|^p dx \right)^{1/p} \leq M \left( \int_K \left[ \sum_{k=1}^{m} \int_{\alpha_{k-1}, m}^{\alpha_k, m} g(\pi(x)s) |\phi(s)|^p ds \right]^{1/p} \right)^{1/p}
\]

This complete the proof of the conclusion (1). The proof of the conclusion (2) is similar to that of the conclusion (1). And the detail is omitted.

**Lemma 6:** Let \( f \in L^p_{\text{loc}}(\mathbb{R}^n) \) and \( k \) be a nonnegative integer, \( e = (1, 1, \ldots, 1) \). Then the following statements are equivalent:

1) \( \sum_{\alpha} (f, K) = L^p(K) \) for any compact set \( K \in \mathbb{R}^n \);  
2) for any \( n \)-multi-index \( \alpha \geq k e \), there exists a \( \psi \in C_0^\infty(\mathbb{R}^n) \) such that
\[
\left( \int_{\mathbb{R}^n} |f(\pi(x)s) - g(\pi(x)s')|^p dx \right)^{1/p} \leq 2M(1 - \delta_0) \sup_{\|\xi\|_2 = \delta_0} \left( \int_K [g(\pi(x)s) - g(\pi(x)s')]^p dx \right)^{1/p}.
\]

The Proof of Theorem 1:

The Proof of Theorem 1: Necessity: Otherwise, \( g(t) \) can be written in the form (4). In this case, it can be verified that \( g(t) \) may be written in the form following form:
\[
\hat{g}(x) = \sum_{j=1}^{n} \sum_{k=0}^{m} C_{jk \alpha} x_j^k g_k(x_1, \ldots, x_{j-1}, x_{j+1}, \ldots, x_n)
\] 

(21)
where \( c_{jk} \) is a constant and each 
\( g_j(x_1, \ldots, x_j, x_{j+1}, \ldots, x_n) \) is an \( L^p \) locally integrable function on \( \mathbb{R}^{n-1} \). [In fact, it is easy to see that (\( \pi(x) \)) can be written in the form (21) with \( g(t) = \frac{\ell(t)}{\ln t} \) for any \( k, j \) with \( 0 \leq k \leq m \) and 
\( 1 \leq j \leq n \), which yield (21).] By (21), a straightforward calculation shows that the general derivative \( D^\alpha \hat{g}(x) \) of \( \hat{g}(x) \) satisfies the following equation:

\[
D^\alpha \hat{g}(x) = 0, \quad \text{for any } \alpha \geq (m + 1) c.
\]

Hence, by Lemma 4, there exists a compact set \( K \) in \( \mathbb{R}^n \) such that \( \Sigma_0(f, K) \neq L^p(K) \), a contradiction. This completes the proof of the necessity.

Sufficiency: Without loss of generality, we may assume that \( \pi(x) \neq 0, \forall x \in K \). Otherwise, we may choose a \( \theta \in \mathbb{R}^n \) such that \( K' = K + \theta \) possesses such a property.

If \( \hat{g}(t) \) can not be written in the form (4) on some interval \((a, b)\) with \( 0 \notin (a, b) \), then Lemma 4 implies that there exists a \( \phi \in C^\infty_0((0, 2]) \) such that \( g \circ \phi \) can not be written in the form (4) on \((a, b)\). It is easy to verify that \( g \circ \phi \in L^p_0(\mathbb{R}^n) \). Thus, by Lemma 3 and Lemma 6, \( \Sigma_0(g \circ \phi, K') \) is dense in \( L^p(K) \).

If \( \hat{g}(t) \) can not be written in the form (4) on some interval \((a, b)\) with \( 0 \notin (a, b) \), \( g(t) \) may be written in the form (4) on \((a, b)\). It is easy to see that \( g(t) \) can not be written in the form (4) on \((a, b)\) with \( 0 \notin (a, b) \).

**Cases I:** \( a_{j0} = b_{j0} \) for any \( j \) with \( 1 \leq j \leq n \). Then \( g_j(t) = a_{j0} \), if \( t > 0 \), \( g_j(t) = b_{j0} \), if \( t < 0 \), \( a_{j0} \) and \( b_{j0} \) are constants.

**Cases II:** There exists an integer \( j \) with \( 1 \leq j \leq n \) such that \( a_{j0} \neq b_{j0} \). Let \( j_0 \) be the largest \( j \) with \( 1 \leq j \leq n \) and \( a_{j0} \neq b_{j0} \). For any \( \alpha \geq c \), take \( \phi \in C^\infty_0([-1, 1]^n) \) such that \( D^\alpha \pi \phi(0) = 0 \). Let \( Q_I = I_1 \times I_2 \times \cdots \times I_n \), where \( I_k \) is \([0, 1]\) or \([-1, 0]\). It is easy to see that

\[
\int_{\mathbb{R}^n} \hat{h}(x) D^\alpha \phi(x) \, dx = \delta^n \max_{1 \leq k \leq n} \int_{Q_I} \hat{h}(x) D^\alpha \phi(x) \, dx.
\]

Moreover it can be verified that, for \( 1 \leq j \leq n, 1 \leq k \leq m \)

\[
\int_{\mathbb{R}^n} \hat{h}(x) D^\alpha \phi(x) \, dx \leq \delta^n \max_{1 \leq j \leq n} \max_{1 \leq k \leq m} \left[ \{a_{j0}, b_{j0}\} \ln \delta^{n-1} \right] \times 2^m \max \{D^\alpha \phi(x)|x| < [0, 1]^n \}.
\]

For any \( \alpha \geq c \), from (26)–(29), there exists a \( \delta_0 \in (0, c^{-1}) \) such that

\[
\int_{\mathbb{R}^n} \hat{h}(x) D^\alpha \phi(x) \, dx \neq 0.
\]

Hence, \( \Sigma_0(\hat{g}, K) \) is dense in \( L^p(K) \) according to Lemma 6, which implies \( \Sigma_0(g, K) \) is dense in \( L^p(K) \). The proof of the sufficiency is then complete.

**V. CONCLUSION**

In this paper, the problem on the approximation of several variables by Sigma–Pi neural networks is investigated. A nec-
cessary and sufficient condition for an $L^p$ locally integrable function to be qualified as an active function in Sigma–Pi neural networks is obtained.

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REFERENCES


